

Public Document Pack

To: Forum Members: Reverend Mark Bennet, Patricia Brims, Fadia Clarke, Jeanette Clifford, Paul Dick, Reverend Mary Harwood, Jon Hewitt, Kate House, Peter Hudson, Brian Jenkins, Catherine Morley, Sheilagh Peacock, Derek Peale, Chris Prickett, Chris Prosser, David Ramsden, Clive Rothwell, Graham Spellman, Suzanne Taylor, John Tyzack, Keith Watts, Stacey Williams and Charlotte Wilson

Councillors: Councillor David Allen and Councillor Irene Neill

Officers: Cathy Burnham, Shannon Coleman-Slaughter, Carolyn Loosen, Ian Pearson, Jane Seymour, Maria Shepherd and Claire White

SCHOOLS FORUM AGENDA

Monday, 19th January, 2015

5.00 pm in the Shaw House Church Road Newbury RG14 2DR

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Any Other Business

Next Meeting: Monday 9th March 2015, 5pm at Shaw House

Agenda Item 2

Minutes of a Meeting of the Schools Forum

Monday 8th December 2014

Shaw House

Present:	Patricia Brims	Primary Schools	Governor	Brimpton Primary School
	Jacquie Davies	Pupil Referral Units	Headteacher	Alternative Curriculum
	Paul Dick	Academies	Headmaster	Kennet School
	Brian Jenkins		Early Years PVI Representative	Jubilee Day Nursery
	Reverend Mary Harwood		Church of England Representative	Oxford Diocese
	Jon Hewitt	Special Schools	Headteacher	The Castle School
	Peter Hudson	Primary Schools	Governor	Mortimer St John's Infant School
	Sheilagh Peacock	Primary Schools	School Business Manager	The Winchcombe School
	Chris Prosser	Secondary Schools	Headteacher	The Downs School
	David Ramsden	Secondary Schools	Headteacher	Little Heath School
	Graham Spellman		Roman Catholic Representative	Portsmouth Diocese
	Suzanne Taylor		Headteacher	Hungerford Nursery School
	John Tyzack	Primary Schools	Governor	Falkland Primary School
	Keith Watts		Union Representative	
	Charlotte Wilson	Academies	Headteacher	Trinity School
	Councillor Irene Neill		Executive Portfolio for C&YP	
	Shannon Coleman-Slaughter		CYP Finance Manager	
	Carolynn Loosen		Schools' Forum Clerk	
	Ian Pearson		Head of Education	
	Jane Seymour		SEN Service Manager	
	Claire White		Schools' Finance Manager	
Guest:	Richard Blofeld (item 7)		Headteacher	

1. APOLOGIES RECEIVED

Councillor David Allen		Shadow Portfolio Holder for C&YP	
Jeanette Clifford	Academies	Governor	St Bartholomew's School
Cathy Burnham		Social Inclusion Manager	
Fadia Clarke		FE Representative	Newbury College
Kate House	Primary Schools	Headteacher	The Ilsleys Primary School
Catherine Morley	Primary Schools	Headteacher	Theale Primary School
Derek Peale	Academies	Headteacher	Park House School
Chris Prickett	Primary Schools	Headteacher	Streatley Primary School
Clive Rothwell	Secondary Schools	Governor	John O'Gaunt School
Stacey Williams	Pupil Referral Units	Headteacher	Reintegration Service

Action

2. MINUTES OF PREVIOUS MEETING DATED 29TH SEPTEMBER 2014

The minutes of the meeting on 29th September were approved.

3. ACTIONS ARISING FROM PREVIOUS MEETINGS

All the action points that were due for completion from the last meeting have been completed or are on this meeting's agenda.

Matters arising from the last meeting:

Schools Formula 2015/16

The formula for 2015/16 has been submitted and agreed by the Education Funding Agency. The EFA also agreed the exceptional premises factor for the joint use of leisure centres where the premises cost is greater than 1% of the school's total budget allocation and that such costs affect fewer than 5% of the schools in the authority. The factor will be included in the West Berkshire formula from 2015/16 onwards (although no school actually qualifies in 2015/16).

Ethnic Minority Support

The recruitment process is on track for a Portuguese Support Officer from 2015/16.

4. DECLARATIONS OF INTEREST

Keith Watts who is the NUT representative and represents the Trade Unions on the Schools Forum declared an interest in the item on Trade Union Facilities delegation.

5. MEMBERSHIP

Eileen Selsey has retired from her role as a Governor at Park House School and therefore as stated in the Forum's terms of reference Eileen's post on the Schools' Forum also comes to an end.

ACTION: Academy schools to elect a new Governor Representative.

Nathan Butler Broad has resigned from his Schools' Forum post so there is a vacancy for a Maintained Schools Primary Headteacher Representative.

ACTION: Replacement representative to be nominated by the Primary Heads Forum. To be added to the PHF agenda for the next meeting on 11th February 2015.

Academy
Reps

I Pearson

6. DRAFT DSG BUDGET 2015/16 – OVERVIEW

Claire White presented the report on the DSG budget 2015/16.

The DfE is due to announce the school funding settlement 2015/16 by mid December 2014.

West Berkshire will receive a small increase in per pupil funding of £8 per pupil after taking into account a change in the method to recover the Carbon Reduction Commitment. However as the actual school budgets 2014/15 exceeded the Schools Block funding 2014/15 some of the increase has already been committed.

Once the October census data has been received the 2015/16 schools formula will be run based on the October pupil data to determine whether there is any headroom to allocate, which will be on a per pupil basis.

For the first time 2 year olds will be funded on a pupil count (5/12ths January 2015 census and 7/12ths January 2016 census). This is the same method used for 3 year olds and is likely to have a detrimental impact of the level of funding that we receive for 2 year olds in 2015/16.

The Early Years Steering Group will be requesting a carry forward of the funding 2014/15 under spend to maintain funding rates to providers in 2015/16.

The High Needs Block is likely to be fixed at the 2014/15 level although a bid has been made for additional places and there may be additional funding if there is any headroom nationally.

The draft estimate of funding and budget 2015/16 (assuming no carry forward from 2014/15) is Headroom of £237k in the Schools Block (based on 2014/15 pupil numbers), a shortfall of £258k in the Early Years Block (based on the 2014/15 funding rates and funded hours) and a shortfall of £1931k in the High Needs Block.

The demands on the High Needs Block continue to grow. Although the top up funding is demand led the cost of the bandings could be reduced.

ACTION: The Heads Funding Group to investigate the options regarding the High Needs Block and make a recommendation to the Schools' Forum at the meeting on the 19th of January.

HFG

7. TRADE UNION FACILITIES BUDGET (DE-DELEGATION)

Ian Pearson led the discussion on the Trade Union Budget Proposals 2015/16. Keith Watts and Richard Blofeld represented the Trade Unions and explained

the role of the Trade Unions both in schools and working with the Local Authority.

Three options were put forward to the Heads Funding Group for the 2015/16 budget:

Option 1:

- Schools should be reimbursed for trade union representatives release time at the rate of the cost of a supply teacher.
- A flat rate of 5 supply days to cover joint consultation meetings of the council.
- 34 supply days for NASUWT executive representative.
- Additional Supply days allocated at 0.08 days per member.

The de-delegated funding is £29k Primary and £14k Secondary Maintained Schools, a total of £43k.

Option 2:

- The 2015-16 budget to be reset to the 2012-13 level.
- £15k of additional funding is allocated to the reimburse release costs of elected NASUWT executive member.

The de-delegated funding is £35k Primary and £19k Secondary Maintained Schools, a total of £54k.

Option 3:

- Cessation of pooled budget for Trade Union facilities. Schools will receive the funding and be responsible for organising their own Trade Union representation.

Whilst the local support of the Trade Unions is appreciated, both the HFG and Schools' Forum members did not feel that funding an executive representative's national duties was something that should be paid for from West Berkshire's schools' funding.

DECISION: The Schools' Forum agreed the Heads Funding Group's recommendation that the Trade Union budget for 2015/16 should be option 1 with £43k de-delegated from primary and secondary schools but funding should not be used to cover the NASUWT representative.

Keith Watts disagreed with the decision as all LAs with executive members traditionally pay for that member to carry out their national duties.

8. THERAPY SERVICES

Jane Seymour presented a report on the proposal to move the Therapy Services into the DSG High Needs Block. Therapy Services meets the criteria to

be included in the DSG and currently approximately 91 out of 150 LAs fund Therapy Services from the DSG.

The service is provided by the Berkshire Health Foundation Trust and will cost £304k in 2015/16 which has already been included in the estimated over spend in 2015/16 of £1,931k. There is a current discussion regarding the possibility a joint commissioning arrangement the Berkshire Authorities and the option of bringing the service in house has been explored but this proved to be more expensive.

DECISION: This service will be included in the impact analysis review of services funded from the High Needs Block.

9. HIGH NEEDS BUDGET PROPOSALS 2015/16

Jane Seymour presented the report on the High Needs Budget Proposals 2015/16 (excluding PRUs), which is currently estimated to be £1,931k over the expected funding to be received in 2015/16 (excluding any carry forward from 2014/15).

This report covered the budget 2014/15, forecast 2014/15 and proposed budget 2015/16 for the current positions for mainstream schools, resource units, special schools, non maintained and independent special schools, Further Education (FE) colleges, Language and Literacy Centres, Specialist Inclusion Service, ABA & Other Educational Programmes, SEN Pre-School, Special Needs Support Team, Sensory Impairment, Equipment for SEN Pupils, ASD Advisory Service, Early Intervention, SEN Inclusion.

The budget 2015/16 for Academy Resources Units includes the new ASD resource planned to open in September 2015. The increase in Special Schools top up funding includes the additional places requested at The Castle and Brookfields and a general trend towards more complex needs pupils at higher bands but this is more cost effective than specialist providers out of county. Increases in the Non WBC Special School top up funding is due to a general increase in ASD diagnosis and the WBC ASD Resources Units are full.

Costs to reduce placements in Non Maintained and Independent Special Schools were effective in 2014/15 however need is predicted to rise again in 2015/16 due to families moving into the area with named independent schools on their child's statement and rises in BESD and ASD diagnosis and tribunals.

These budgets will be part of the review of the budgets funded through the High Needs Block of the DSG.

ACTION: Budget estimates to be reviewed to ensure not overstating costs. Need impact analysis against each service. HFG to make proposals at the next meeting.

**J Seymour
& HFG**

10. PRU REVIEW & BUDGET PROPOSALS 2015/16

Ian Pearson presented the update on PRU funding.

The service is forecast to over spend by £500k in 2014/15. The current arrangement is:

- The LA meets the full cost of permanently excluded pupils transferred to PRUS; the relevant AWPU is repaid by the school to the LA.

For all other placements:

- Primary Schools pay 50% of the lowest funding band the remainder is paid from the High Needs Block. The funding is paid at a fixed daily rate by the school capped at 12 weeks. If the pupils are not ready to reintegrate after 12 weeks all further costs are met in full by the LA from the High Needs Block.
- Secondary schools using the Reintegration Service pay the lowest funding band but the cap is 6 weeks. Any provision over 6 weeks met by the LA.
- Secondary schools using the Alternative Curriculum pay £1,500 per term for a maximum of two years. The balance is paid by the LA.

There are currently a much higher number of pupils on high level top up bandings than budgeted for and the schools are being charged at the lowest bands with the remainder of the costs funded by the High Needs Block.

The proposals for consideration were:

- Current arrangements remain unchanged.
- Top up bands are reduced from April 2015.
- Top up bandings immediately reduced.
- A reduction in the schools budget 2015/16 to compensate the High Needs Block.
- An increase in the amounts paid by schools.
- PRU funding to be delegated to schools in 2016/17 based on a formula.

The recommendation from the HFG was that the report was unclear and that both the financial costs and operational risks were not fully examined. More information is required about the costs of the six strategies and how they affect other areas of the DSG. The Pupil Referral Unit Headteachers and Governors are assisting with compiling a more detailed report.

ACTION: A more detailed report is to be presented to the HFG and Schools' Forum in January.

C Burnham

11. DSG MONITORING 2014/15 – MONTH 7

Shannon Coleman-Slaughter presented the report on the DSG budget monitoring as at 31st October.

The Schools Block is forecast to be £8k over spent for 2014/15, The Early Years Block is forecast to be on target and the High Needs Block is forecast to be £221k under spent. There has been a decrease between the High Needs Block 2014/15 forecast under spend between period 5 and period 7 of £391k which was mainly due to PRU top ups, Home Tuition and SEN commissioned provision.

12. UPDATE ON SCHOOLS IN FINANCIAL DIFFICULTY

Claire White presented the update on Schools in Financial Difficulty.

There were 3 schools with a budgeted deficit for 2014/15; Bradfield Primary (£75k), Kintbury Primary (£25k) and John O’Gaunt (£535k).

Bradfield Primary were successful in their bid for additional funding from the primary schools in financial difficulty contingency fund to cover redundancy costs and are now forecasting a balanced budget 2014/15, though still face significant challenges in setting a balanced budget next year.

Kintbury Primary has successfully made some savings in 2014/15 through organisational changes, but benefit from the continuation of the minimum funding guarantee in 2015/16 so will continue with restructuring opportunities. No additional funding has been requested in 2014/15 however there may be a bid for funding in 2015/16 to cover redundancy costs.

Pupil numbers have not increased at JOG and the plans for an all through school faced local opposition. The school has taken the decision to suspend the sixth form from September 2015 and has not admitted any year 12 pupils this September. The school’s position is being closely monitored by the Corporate Director for Communities, Head of Finance, and Council members and this is now a Council risk rather than a DSG risk.

All the schools that forecasted a deficit in 2015/16 and requested support from Schools’ Accountancy are currently being supported in their strategic financial planning.

13. SCHOOL FUNDING BENCHMARKING TABLES

Claire White presented the 2014/15 benchmarking tables which show the funding West Berkshire receives compared with both its statistical neighbours and other unitary authorities in the areas of per pupil funding, individual school budgets, High Needs budgets, total school budgets and individual school

budgets against GCSE results.

West Berkshire comes top in the DSG per pupil funding it receives with £4,359 per pupil compared with a median of £4,187 against its statistical neighbours but lower than the median of £4,432 against other unitary authorities. In 2015/16 there will be significant shift in this data as there will be additional funding from Central Government for the lowest funded authorities.

West Berkshire is second highest in the funding it delegates to schools at £4,182 per pupil compared to a median of £4,057 for its statistical neighbours and lower than the median of £4,221 against other unitary authorities.

The high needs budget is £344 per pupil compared to a median of £319 against its statistical neighbours and a median of £299 against other unitary authorities.

The total school budgets data is £4,754 per pupil compared to the median of £4,575 against its statistical neighbours and a median of £4,847 against other unitary authorities.

14. SEND FUNDING – LONGER TERM CHANGES – CALL FOR EVIDENCE

The Department of Education has issued a call for evidence on the future of how the LAs receive High Needs Funding and then delegate this funding to schools. They are looking for ideas on how the funding might be calculated on a formulaic basis rather than the current system of a historical fixed sum being received and the place / top up funding method in allocating this funding to schools. They will then develop proposals for consideration.

The call for evidence closes on 27th February 2015.

Action: A sub group is to be formed and led by Jane Seymour to put together ideas.

J Seymour

15. FORWARD PLAN JANUARY TO MARCH 2015

Any further items for the forward plan should be sent to Claire White.

ACTION: Reminder to HFG members regarding requirement to attend the 8th January meeting.

C White & C Loosen

ANY OTHER BUSINESS

There was a discussion regarding the raising of interest in the issues that the members of the Schools Forum are facing, particularly concern on school budgets with no increases to funding yet increases in costs. Suggestions included a paper to management board and discussion with other Berkshire Authorities through the SE Director of Children Services Group.

ACTION: Update from the SE Director of Children Services Group to be brought to next Schools' Forum.

I Pearson

Meeting closed 6.50 p.m.

Date of next meeting: Monday 19TH January 2015
Time: 5pm
Venue: Shaw House

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West Berkshire Schools' Forum	
Title of Report:	Dedicated Schools Grant (DSG) for 2015/16 and Draft Budget - Overview
Date of Meeting:	19th January 2015
Contact Officer(s)	Claire White
For Decision	

1. School Funding Settlement 2015/16

1.1 The Department for Education (DfE) announced the school funding (DSG) settlement for 2015/16 on 17th December 2014. DSG funding is split into 3 funding blocks – schools, early years and high needs, each calculated in a different way. Although the blocks are not ring fenced, they provide a guideline on how the total funding should be allocated, and where possible the aim should be to maintain this.

1.2 **Schools Block.** West Berkshire has received a small increase to the schools block funding rate, from £4,359 per pupil to £4,368 per pupil, which results in an increase in grant of £198k when applying the pupil numbers recorded in the October 2014 census. This increase is due to the Government allocating an additional £390m to the least fairly funded local authorities. West Berkshire fell just below the minimum funding level so has benefitted from this small increase. The revised rate takes into account a deduction (per pupil) for the Carbon Reduction Commitment which will now be recovered on a per pupil basis rather than through a lump sum deduction based on how much this was previously costing the local authority (an increase of £42k due to this change in methodology). Although the allocations to schools in 2014/15 exceeded the actual school block funding allocation by £166k (mainly due to a carry forward of an overspend), it is assumed that underspends in the falling rolls fund and growth fund will offset this, and no deduction from the 2015/16 DSG allocation will be required. The total DSG allocation in the schools block has increased by £966k, as the overall number of pupils has increased by 143.

1.3 **Early Years Block.** The funding rate for the early years block funding will remain the same in 2015/16. For the first time two year olds will also be funded based on a pupil count rather than on a fixed sum based on Government estimates of take up, so the allocation is likely to be significantly less. Both will be based 5/12 on the January 2015 and 7/12 on the January 2016 census. If the number of two year olds is significantly higher in the October 2015 census compared to January, then a bid can be made for additional funding. The initial DSG allocation is based on the January 2014 census (with no initial allocation for 2 year olds), so our initial 2015/16 budget is set based on forecast numbers and take up in 2014/15. There is likely to be a significant under spend in the 2014/15 early years block due to lower take up of two year old places than funded for, and the Early Years Steering Group is requesting a carry forward of this sum as one-off funding in order to

maintain funding rates to providers in 2015/16 and to provide a contingency due to the uncertainties of funding and take up when setting the budget.

1.4 **High Needs Block.** The High Needs block funding has been fixed at the 2014/15 level, with a small increase of £144k from national headroom, and £17k for the full year effect of increases in the 2014/15 academic year places. Our bid for additional places in 2015/16 at our special schools and for the new resource unit was unsuccessful due to not meeting the minimal percentage increase of 10%. If successful this would have provided a minimum increase of £250k for these places. Provision for these places plus the top ups will need to come from the existing grant allocation. The large carry forward of £1m that was available in 2014/15 has now largely been used and it is currently assumed there will be a carry forward of £200k to 2015/16 as one-off funding, meaning an overall reduction in funding in this block.

1.5 A breakdown of the funding blocks is detailed in Appendix A.

2. Draft Budget Requirement for 2015/16

2.1 The following table summarises our final DSG allocation compared to the draft estimate of the budget requirement. Since the last meeting, the budget estimate has been reviewed and confirmed based on the latest pupil information and costs. There is headroom in the schools and early years block, but a significant shortfall in the high needs block. Proposals from the Heads Funding Group (HFG) are also shown, with the resultant net position. A detailed breakdown of the budget, split between the three blocks is shown in Appendix B.

	DSG Funding £'000	Budget Estimate £'000	Headroom/ (Shortfall) £'000	Proposals from HFG £'000	Net Position £'000
Schools Block	96,093	95,740	353	-353	0
Early Years Block	7,934	7,560	374		374
High Needs Block	17,911	18,961	(1,050)	843	(207)
Total	121,938	122,261	(323)	490	167

2.2 The detailed budget proposals for each block is set out in separate reports on this agenda, which include savings proposals for the high needs block.

2.3 A final decision is required at this meeting for the schools block. Decisions on the early years and high needs blocks can be taken at the next meeting in March.

2.4 The recommendations from the Heads Funding Group are as follows:

- 1) Delegate the schools block headroom out to schools – this will help mitigate some of the high needs savings proposals which will require schools to pay for some additional services.
- 2) Retain the early years headroom to offset any shortfall in both the early years and high needs blocks in 2015/16. Note that this figure will be revised following the receipt of data from the January 2015 census.

- 3) Support the savings proposals in the high needs block, but further consideration required between now and the next meeting when have more information on outcomes for each service and impacts of the proposed cuts.

Appendices

Appendix A - Estimated DSG Funding 2015/16

Appendix B – Estimated DSG Budget 2015/16

Appendix A

Estimated DSG Funding 2015/16 as at 5th JANUARY 2015			
1		Final 2014/15	Estimate 2015/16
2		Oct 2013 census	Oct 2014 census
3	SCHOOLS BLOCK		
4	<u>Pupil Numbers</u>		
5	School Census - Mainstream	21,922.0	22,062.0
6	Add: Reception Uplift	46.0	49.0
7	Less: Pupils/Places in Resource Units	-119.0	-119.0
8	Total Pupil numbers	21,849.0	21,992.0
9			
10	DSG Guaranteed Unit of Funding	£4,359.00	£4,367.93
11	DSG based on pupil numbers	£95,239,791	£96,059,517
12			
13	Plus: Adjustment for NQT	£33,115	£33,115
14	Less: Reduction for Carbon Reduction Commitment	-£123,140	
15			
16	ADD Carry Forward from Previous Year	-£23,050	£0
17			
18	Total Schools Block including Academies	95,126,716	96,092,632
19			
20	EARLY YEARS BLOCK (Provisional)	Jan 2014 census	Jan 2015 census
21	<u>Pupil Numbers</u>		
22	School Census - Mainstream	421.0	421.0
23	Early Years Census	1,114.0	1,140.0
24	Adjustment for universal provision	0.0	0.0
25	Total Pupil numbers	1,535.0	1,561.0
26			
27	DSG Guaranteed Unit of Funding	£3,911.00	£3,911.00
28	DSG based on pupil numbers	£6,003,385	£6,105,071
29			
30	Difference in provision for DSG due in previous year:		
31	Provision for estimated DSG	-£170,090.00	
32	Actual DSG	£175,680.00	
33			
34	Two Year Old Funding 14/15	£1,316,928	
35	DSG Guaranteed Unit of Funding 2 Year Olds 15/16 (PTE)		£3,055.20
36	Estimated number of 2 year olds per counts		325
37	DSG based on estimated number of 2 year olds		£992,940
38			
39	Plus Indicative Early Years PPG		£74,591
40			
41	ADD Carry Forward from Previous Year	£530,114	£761,670
42			
43	Total Early Years Block	7,856,017	7,934,272
44			
45	HIGH NEEDS BLOCK		
46	Previous Year High Needs Budget	16,587,354	17,550,154
47	Adjustments:		
48	Less NMSS grant in previous year	-40,974	
49	Add NMSS grant in current year	43,254	
50	Funding Adjustment	446,218	17,000
51	Place number review adjustments	17,007	
52	Additional Funding	489,977	144,000
53	Additional PRU post 16 place	7,318	
54	ADD Carry Forward from Previous Year	999,890	200,000
55			
56	Total High Needs Block	18,550,044	17,911,154
57			
58	TOTAL DSG FUNDING AVAILABLE	121,532,777	121,938,058

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West Berkshire Schools' Forum	
Title of Report:	Primary & Secondary School Formula & School Budget for 2015/16
Date of Meeting:	19th January 2015
Contact Officer(s)	Claire White
For Decision	

1. Background

1.1 The primary and secondary school formula for 2015/16 was agreed by Schools' Forum on 29th September 2014 and approved by the Council's Executive on 9th October 2014 without any change. It was submitted to the Education Funding Agency (EFA) by the deadline of 31st October.

1.2 The EFA accepted the submission as being compliant, and no changes are required to the formula. Now that the data from the October 2014 census is available, and we have received our final Dedicated Schools Grant (DSG) allocation for the Schools Block for 2015/16, the final funding rates need to be set in accordance with funding available, and the final formula submitted to the EFA by 20th January 2015. Maintained schools are to be notified of their formula allocations by 27th February 2015.

2. Schools Block DSG Allocation for 2015/16

2.1 The schools block DSG allocation for 2015/16 has now been confirmed as follows (shown alongside the 2014/15 allocation as a comparison):

	2014/15	2015/16
Primary Pupils October census	12,607	12,811
Secondary Pupils October census	9,312	9,249
Adjustments (reception & Resource places)	-70	-68
Total Pupil Numbers	21,849	21,992
Guaranteed Unit of Funding	£4,359	£4,367.93
	£'000	£'000
Total School Block DSG	£95,240	£96,060
Add NQT Funding	£33	£33
Less Carbon Reduction Commitment	-£123	
Actual DSG to be Received for Year	£95,150	£96,093
Assumed Carry Forward from Prior Year	-£23	0
TOTAL GRANT AVAILABLE IN YEAR	£95,127	£96,093

2.2 It is assumed that the carry forward from 2014/15 will be nil, with the over allocation of DSG in 2014/15 being offset by an underspend in the growth fund and falling rolls fund in 2014/15.

3. Centrally Retained Budget

3.1 Under School Finance Regulations, funding for a few specified purposes can be deducted from the DSG (be centrally retained) before the balance is allocated out to schools via the formula. It is recommended that the Schools' Forum approve the following amounts to be centrally retained:

Purpose:	14/15 Budget	15/16 Budget	Notes
Growth Fund/Infant Class Size	250,000	250,000	As agreed at SF on 29/9/14. See Appendix C
Falling Rolls Fund	120,000	40,000	As agreed at SF on 29/9/14. See Appendix D
Licences	76,120	126,000 (estimate – final figure due from DfE in January)	National copyright licenses agreement - to include 4 additional licences in 15/16. Met centrally so saving to individual schools
Servicing of Schools' Forum	42,220	42,220	No change
School Admissions	309,070	309,070	No change
Total Centrally Retained	797,410	767,290	

3.2 After deducting £767k from the total grant available of £96,093k, this leaves £95,326k for distribution to schools.

4. Proposed Funding Rates for Schools Formula

4.1 At the meeting of the Schools' Forum on 29th September 2014, it was agreed that funding rates would remain the same for each factor, and should additional funding be available then this be distributed to all schools by adding to the per pupil funding rate.

4.2 After uploading the formula with the October 2014 census data, and running the formula using existing rates, the total funding required is £94,973k. Appendix A details the formula and funding required for each factor. This leaves £353k headroom available for distribution.

4.3 Bearing in mind that the funding received per block is not ring fenced, the options for the schools block headroom are as follows:

1. Increase the per pupil funding rate as previously agreed, which works out at £18 per pupil. Appendix B shows the effect on each individual school with and without this increase.
2. Do not allocate the headroom in 2015/16 and leave as a contingency to meet any funding shortfall in the high needs block if needed in year (as detailed in other reports on this agenda).

The recommendation from the Heads Funding Group is:

- 1. Agree the centrally retained budget as set out in paragraph 2.3**
- 2. Allocate the headroom out to schools on a per pupil basis and agree the formula rates for 2015/16**

Appendices

Appendix A – School Formula 2015/16 (prior to any headroom allocation)

Appendix B – School Funding Allocation Summary by School 2015/16

Appendix C – Growth Fund Criteria 2015/16

Appendix D – Falling Rolls Fund Criteria 2015/16

Appendix A

Local Authority Funding Reform Proforma												
LA Name:		West Berkshire										
LA Number:		869										
Pupil Led Factors												
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Reception uplift		Yes		Pupil Units		49.00					
	Description		Amount per pupil		Pupil Units		Sub Total		Total	Proportion of total pre MFG funding (%)	Notional SEN (%)	
	Primary (Years R-6)		£2,919.00		12,811.00		£37,395,309		£77,287,243	39.55%	2.09%	
	Key Stage 3 (Years 7-9)		£4,346.00		5,424.00		£23,572,704					
Key Stage 4 (Years 10-11)		£4,346.00		3,755.00		£16,319,230						
2) Deprivation	Description		Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total		Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
	FSM6 % Primary		£875.00		1,833.43		£1,604,254		£3,174,037	3.36%	50.51%	0.00%
	FSM6 % Secondary			£670.00		1,495.00	£1,001,648					
	IDACI Band 1		£40.00	£60.00	381.32	330.78	£35,100					
	IDACI Band 2		£120.00	£180.00	720.53	517.79	£179,667					
	IDACI Band 3		£240.00	£360.00	501.43	540.80	£315,030					
	IDACI Band 4		£240.00	£360.00	83.64	38.84	£34,055					
	IDACI Band 5		£240.00	£360.00	5.94	7.94	£4,283					
IDACI Band 6		£240.00	£360.00	0.00	0.00	£0						
3) Looked After Children (LAC)	LAC X March 12				85.73		£0		£252,045	0.00%	0.00%	0.00%
	EAL 3 Primary		£345.00		654.63		£225,848					
4) English as an Additional Language (EAL)	EAL 3 Secondary		£345.00		75.93		£26,196		0.27%	0.00%	0.00%	0.00%
	Pupils starting school outside of normal entry dates				341.83		£0					
5) Mobility	Description		Weighting	Amount per pupil	Percentage of eligible Y1-2 and Y3-6 NOR respectively	Eligible proportion of primary and secondary NOR respectively	Sub Total		Total	Proportion of total pre MFG funding (%)	Primary Notional SEN (%)	Secondary Notional SEN (%)
	Low Attainment % new EFSP		100.00%	£284.00	36.84%	3,101.21	£880,743		£3,142,611	3.32%	100.00%	100.00%
Low Attainment % old FSP 78				16.15%								
Secondary pupils not achieving (KS2 level 4 English or Maths)			£1,125.00		2,010.55	£2,261,869						
Other Factors												
Factor		Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)		Proportion of total pre MFG funding (%)	Notional SEN (%)			
7) Lump Sum		£126,400.00	£126,400.00			£9,606,400		10.16%	0.00%			
8) Sparsity factor			£100,000.00			£100,000		0.11%	0.00%			
Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a												
Primary distance threshold (miles)		Primary pupil number average year group threshold			Fixed or tapered sparsity primary		Fixed					
Secondary distance threshold (miles)		Secondary pupil number average year group threshold			Fixed or tapered sparsity secondary		Fixed					
Middle schools distance threshold (miles)		Middle school pupil number average year group threshold			Fixed or tapered sparsity middle		Fixed					
All-through schools distance threshold (miles)		All-through pupil number average year group threshold			Fixed or tapered sparsity all-through		Fixed					
9) Fringe Payments						£0		0.00%	0.00%			
10) Split Sites						£0		0.00%	0.00%			
11) Rates						£989,330		1.05%	0.00%			
12) PFI Funding						£0		0.00%	0.00%			
13) Sixth Form						£0		0.00%	0.00%			
14) Exceptional circumstances (can only be used with prior agreement of EFA)												
Circumstance						Total (£)		Proportion of total pre MFG funding (%)	Notional SEN (%)			
Additional lump sum for schools amalgamated during FY14-15						£0		0.00%	0.00%			
#N/A						£0		0.00%	0.00%			
Joint use of leisure facilities						£0		0.00%	0.00%			
Exceptional Circumstance4						£0		0.00%	0.00%			
Exceptional Circumstance5						£0		0.00%	0.00%			
Exceptional Circumstance6						£0		0.00%	0.00%			
Total Funding for Schools Block Formula (excluding MFG Funding Total) (£)						£94,551,665		100.00%	£4,734,482			
15) Minimum Funding Guarantee (MFG is set at -1.5%)												
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)								#VALUE!				
Capping Factor (%)								No				
Scaling Factor (%)												
Total deduction if capping and scaling factors are applied								£0				
						Total (£)		Proportion of Total funding(%)				
MFG Net Total Funding (MFG + deduction from capping and scaling)						£421,025		0.44%				
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)												
Additional funding from the high needs budget						£50,000.00						
Growth fund (if applicable)						£250,000.00						
Falling rolls fund (if applicable)						£40,000.00						
Total Funding For Schools Block Formula						£94,972,691						
% Distributed through Basic Entitlement						81.74%						
% Pupil Led Funding						88.69%						
Primary: Secondary Ratio						1 :		1.28				

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West Berkshire Council Schools Growth Fund Criteria 2015/16

1. Background

- 1.1 Under the current School Funding Regulations, local authorities can set up a growth fund from the DSG in advance of allocating school budget shares. The purpose of the growth fund is to support maintained schools and Academies which are required to provide extra places in order to meet basic need within the authority, including pre-opening and reorganisation costs. It can also include funding schools where very limited pupil number growth requires an additional class as required by infant class size regulations. It cannot be used for general growth in pupil numbers at a school due to a schools popularity.
- 1.2 The growth fund is ring-fenced so that it can only be used for the purpose of supporting growth in pre 16 pupil numbers to meet basic need. Any funds remaining at the end of the financial year must be added to the following year's DSG and reallocated to maintained schools and Academies through the local formula.
- 1.3 Local authorities are required to provide on a transparent and consistent basis the criteria on which any growth funding is to be allocated. The criteria should both set out the circumstances and clear objective trigger points for a school to qualify for payment and provide a clear formula for calculating the sum to be paid.
- 1.4 The Schools' Forum is required to agree the criteria and the total sum to be top sliced from each phase, and will receive reports on the use of the funding.
- 1.5 The criteria and funding as agreed by the Schools' Forum at its meeting on 29th September 2014 is set out below.

2. Growth Fund Criteria

2.1 New School

Start-up costs are payable to a new school for pre-opening costs (such as Headteacher and other staffing and recruitment costs prior to opening), and initial equipping allowance *where the school is opening in response to basic need in the area.*

Funding will be actual cost of the Headteacher prior to the school opening for up to one full term, plus a fixed one-off lump sum of £25,000 for start up costs.

Diseconomies of scale A contribution towards fixed costs is payable when a new school opens *in response to basic need in the area* without a full complement of year groups. Where a new school opens and is less than 90% full, a payment of £1,000 per percentage point below capacity is made, up to a maximum of £80,000 (i.e. 20% full) e.g. a school opening at 40% full will receive an additional lump sum of £60,000, and a school opening 82% full will receive an additional lump sum of £18,000. This is payable for the first two academic years only.

2.2 **Extending Age Range (including new schools)**

This is payable to a school which has extended its age range and set up a new class in agreement with the authority *in response to basic need in the area*. Funding is payable from the growth fund where the new pupil numbers have not been added to the school formula funding in agreement with the DfE (i.e. the deadline for such agreement was missed) or the new pupil numbers are greater than the number agreed with the DfE.

Funding will be total AWPU per additional pupil in the new class (pro rata for the remainder of the financial year) plus a fixed lump sum of £10,000 per new year group for set up costs. The lump sum is not payable in the case of a new school which has received funding under 2.1 in the same financial year.

2.3 **Provision of an Extra Class**

This is payable where a school has *agreed with the authority to provide an extra class in order to meet basic need in the area* (either as a bulge class or as an ongoing commitment).

Funding will be total AWPU per additional pupil in the new class up to a maximum of £50,000 per class (approx. 17 pupils in primary, 11 pupils in secondary) pro rata for the remainder of the financial year. £50,000 will pay for a full time teacher at mid scale, a term time only Teaching Assistant, and approximately £9,000 for other costs.

2.4 **Increase in Pupil Admission Number (PAN)**

This is payable where a school has increased its admission number by 5 or more pupils *in agreement with the authority*, but this has not necessitated an additional class, or is not in response to basic need for a bulge class or general pupil number growth in the area.

Funding will be 50% of the AWPU per additional pupil up to a maximum of £25,000 (approx. 17 pupils in primary, 11 pupils in secondary) pro rata for the remainder of the financial year.

2.5 **KS1 Classes (infant class size)**

This is payable to a school with infant classes which is required to set up an additional class in the Autumn term as required by infant class size regulations, and the school cannot accommodate all its additional reception and Key Stage 1 pupils in classes of 30 or less i.e. the total number of pupils in the 3 year groups exceeds a multiple of 30. (see Appendix A for examples).

In order to qualify for the additional funding, the school must have set up an additional class and employed an additional teacher, and must not have exceeded its admission number unless requested to by the LA.

Funding will be a fixed sum of £40,000 for each new class (to pay for a mid scale teacher plus a teaching assistant), pro rata for the remainder of the financial year.

Before setting up an additional class and employing an additional teacher, schools should be aware that this additional in-year payment is temporary one-off funding for the remainder of the financial year in order to meet the pupil's basic need until full per pupil funding is received the following April. Schools will be required to meet the costs of the additional class from their formula pupil funding and lump sum from the following financial year. Schools accessing the infant class size funding where pupil numbers are just 2 or 3 above the limit, should carefully consider the longer term financial implications of employing an additional teacher (approximately 11 additional pupils are needed to pay for a midscale teacher, or 9 additional pupils for a newly qualified teacher).

3. Funding to be Top sliced from DSG

3.1 The sum to be set aside in 2015/16 is as follows:

Estimated Requirement	Calculation	Total
New School (none expected 2014/15)		£0
Extending Age Range (none expected 2014/15)		£0
Additional Classes x 6	$£50,000 \times 6 \times 7/12$	£175,000
Increase in PAN – 10 pupils x £1,454	$£14,540 \times 7/12$	£8,480
Infant classes x 2	$£40,000 \times 2 \times 7/12$	£46,670
Contingency		£19,850
TOTAL DSG REQUIREMENT		£250,000

3.2 Funding requests from schools are to be submitted to Schools' Finance who will make payment following approval by the Head of Education if he is satisfied that the criteria are met. All approvals will be reported to Schools' Forum.

3.3 Any overspends in year will be met from a top slice of the following years DSG allocation. Any underspends will be carried forward and reallocated to all schools through the following years funding formula.

Annex A – Examples of Infant Class Size Additional In-Year Funding

Examples of Infant Class Size Additional In-Year Funding

Example 1

	October 2014 Census	October 2015 Census
Reception Pupil Numbers	23	31
Year 1 Pupil Numbers	20	25
Year 2 Pupil Numbers	22	20
Total Pupil Numbers	65	76
Number of Classes run by school	3	3

Although pupil numbers have increased by 11, and the reception class exceeds 30, under infant class size regulations the school is still only required to run 3 classes, therefore no additional in-year funding will be payable. Total pupil numbers would need to exceed 90 to trigger the requirement for a 4th class.

Example 2

	October 2014 Census	October 2015 Census
Reception Pupil Numbers	20	21
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	20
Total Pupil Numbers	59	61
Number of Classes run by school	3	3

Total pupil numbers have increased by 2 taking the total over 60 and requiring 3 classes. However the school is already running and funding 3 classes within their existing budget, so no additional in-year funding will be payable – their budget requirement for the year has not changed by the admission of these 2 pupils.

Example 3

	October 2014 Census	October 2015 Census
Reception Pupil Numbers	20	21
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	20
Total Pupil Numbers	59	61
Number of Classes run by school	2	3

Same pupil numbers as the above example, except the school were operating with 2 classes. The school is therefore eligible for additional in-year funding if they operate a third class. However if their budget with just 2 extra pupils would not sustain the cost of an additional teacher beyond the following April, then they would need to carefully consider the implications of accepting an additional pupil taking them over 60 (unless exceptions to the regulations apply, such as pupils with a statement of SEN naming the school or pupils moving into the area outside the normal admission round).

Example 4

	October 2014 Census	October 2015 Census
Reception Pupil Numbers	20	30
Year 1 Pupil Numbers	20	20
Year 2 Pupil Numbers	19	21
Total Pupil Numbers	59	71
Number of Classes run by school	2	3

The school were running and funding 2 classes before the September admissions took them over 60 pupils. Additional in-year funding would therefore be payable for the additional class, and the additional 12 pupils will generate enough funding to sustain the cost of the additional teacher from April 2015.

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West Berkshire Council Schools Falling Rolls Fund Criteria 2015/16

1. Background

- 1.1 Since 2014/15, local authorities have been able to top slice the DSG in order to create a small fund to support good schools with falling rolls. This is for where a population bulge is expected in the future but where a good and necessary school or academy currently has surplus places and faces an unmanageable funding shortfall in the short term.
- 1.2 The falling rolls fund is ring-fenced. Any funds remaining at the end of the financial year must be added to the following year's DSG and reallocated to maintained schools and Academies through the local formula.
- 1.3 It is mandatory that the falling rolls fund is restricted to population increases expected in 2-3 years in necessary schools which are classed by OFSTED as good or outstanding.
- 1.4 Local authorities are required to provide on a transparent and consistent basis the criteria on which any falling rolls funding is to be allocated. The criteria should both set out the circumstances in which a payment could be made and provide a basis for calculating the sum to be paid.
- 1.5 The Schools' Forum is required to agree the criteria and the total sum to be top sliced from each phase, and will receive reports on the use of the funding.
- 1.6 The criteria and funding as agreed by the Schools' Forum at its meeting on 29th September 2014 is set out below.

2. Falling Rolls Criteria

- 2.1 School must have been judged good or outstanding at their last OFSTED inspection.

- 2.2 The total number of pupils on roll (4 – 16) has fallen by at least 10% or 30 pupils between October 2014 census and October 2015 census.
 - 2.3 Local planning data shows a requirement for at least 50% of these places being refilled within the next 3 years.
 - 2.4 According to the current pupil numbers on roll, the school needs to reduce the number of classes required now, but will need to increase the number again within the next 3 years (calculation based on classes of 30 pupils)
 - 2.5 Where a school meets the above criteria, funding will be provided equivalent to the staffing cost of continuing to run the additional class(s) at a fixed sum of £40,000 per class pro rata to the number of pupils the roll has reduced by in each class (e.g. if year 3 has reduced by 10 compared to 30 in the previous year, payment will be $£40,000 \times 10/30 = £13,333$ for this class). This will be pro rata for the remainder of the financial year, and will enable the school to continue with the current number of classes without incurring a redundancy.
3. **Funding to be Top sliced from DSG**
 - 3.1 The sum to be set aside in 2015/16 is £40,000
 - 3.2 Funding requests from schools are to be submitted to Schools' Finance who will make payment following approval by the Head of Education if he is satisfied that the criteria are met. All approvals will be reported to Schools' Forum.
 - 3.3 Any overspends in year will be met from a top slice of the following years DSG allocation. Any underspends will be carried forward and reallocated to all schools through the following years funding formula.

Agenda Item 8

West Berkshire Schools' Forum	
Title of Report:	High Needs Budget Proposals 2015-16
Date of Meeting:	19th January 2014
Contact Officer(s)	Jane Seymour, Cathy Burnham
For Discussion	

1. Background

- 1.1 This report sets out existing 2014-15 High Needs budgets and budget proposals for 2015-16.
- 1.2 Budget proposals for 2015-16 were originally considered by the Heads' Funding Group in November 2014.
- 1.3 Due to a number of factors including there being no increase in the High Needs Block, a smaller carry forward than in previous years and pressure in specific areas of expenditure, the proposed HNB budgets exceeded likely HNB income by approximately 1.9 million.
- 1.4 Officers were therefore asked to review budget projections and bring forward revised budget figures, including savings proposals.

2. Mainstream Schools

- 2.1 There is no change in the budget proposed for mainstream top up.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90621	Mainstream top up (maintained)	572,830	509,980	509,980	0
90622	Mainstream top up (academies)	161,940	213,240	213,240	0
	TOTAL	734,770	723,220	723,220	0

3. Resourced Units attached to Mainstream Schools

3.1 Resourced unit top up budget proposals have been reviewed and some anomalies have been identified. It has been possible to set revised 15-16 budgets which are lower than the original 15-16 proposed budgets by £71,117.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90584	Resource units place funding	512,500	535,833	500,000	35,833
90617	Resource units top up maintained	335,060	332,366	329,228	3138
90026	Resource units top up academies	252,610	451,876	419,730	32,146

4. Special Schools

4.1 More detailed work has been done on the special school place and top up requirements for 2015-16. It has been possible to set revised budgets which are lower than the original 15-16 proposed budgets by £38,885.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90540	Special schools place funding (<u>pre 16</u>)	2,885,000	2,885,000	2,860,000	25,000
90539	Special schools top up funding	2,465,120	2,744,827	2,730,942	13,885

5. Non West Berkshire Mainstream, Resourced and Special Schools

5.1 The non West Berkshire mainstream and resourced school top up budget proposals remain the same.

5.2 The non West Berkshire special school top up budget proposal has been reviewed. It has been possible to set a revised 15-16 budget which is lower than the original 15-16 proposed budget by £89,785.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90624	Non WBC mainstream top up	50,700	62,150	62,150	0
90618	Non WBC resource unit top up	15,300	27,860	27,860	0
90548	Non WBC special school top up	663,900	825,025	735,240	89,785

6. Non maintained and Independent Special Schools

6.1 The proposed budget for non maintained and independent special school placements in 2015-16 was originally based on a number of assumptions including the possibility of several currently fragile placements breaking down and all current Tribunal cases being lost, that is, it was based on a fairly pessimistic view of likely new placements.

6.2 These assumptions have been reviewed and a revised figure has been identified which is lower than the original proposed budget by £310,830.

6.3 There are some risks in setting the budget at this level as it is impossible to predict which pupils will need out of area placements, but the original budget proposed budget was based on a worst case scenario position which is probably unlikely to be reached.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90575	Non maintained special school top up				
90579	Independent special school top up				
	Combined budget	2,365,770	2,800,000	2,489,170	310,830

7. FE College Placements

7.1 The proposed budget for FE College placements has not been changed.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90580	FE College top up	1,345,340	990,040	990,040	0

8. Language and Literacy Centres (LALs)

8.1 This budget funds the primary LALs at Theale and Winchcombe schools. The LALs provide intensive literacy support for primary children with severe specific literacy difficulties.

8.2 It would be possible to close one LAL as the teacher in charge has resigned and has not yet been replaced. This would achieve a saving of £67,300.

8.3 See Appendix 1 for information on the impact of LALs and the possible impact / risks if LAL provision were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90555	Language and Literacy Centres	134,600	134,600	67,300	67,300

9. Specialist Inclusion Support Service

9.1 This service provides outreach support from West Berkshire's special schools to mainstream schools to support the inclusion of children with learning and complex needs in their local mainstream schools.

9.2 This budget could be reduced if either a lower level of service were to be offered or if schools paid for certain aspects of the service.

9.3 See Appendix 2 for information on the impact of the SISS Service and the possible impact / risks if SISS provision were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90585	Specialist Inclusion Support Service	105,650	105,650	70,000	35,650

10. Applied Behavioural Analysis (ABA) and Other Educational Programmes

- 10.1 This budget supports a small number of statemented children for whom the Authority has agreed an ABA programme as part of their statement. ABA is an intensive intervention programme for children with autism which aims to modify behaviours which are typical of ASD in order to allow children to function more successfully in school and in society.
- 10.2 This budget also covers the cost of children accessing other “miscellaneous” educational programmes, such as The Lighthouse Project etc.
- 10.3 The proposed budget for 2015-16 has not changed. The predicted budget requirement is based on existing children with Statements of Special Educational needs who will still be in their placement in 2015-16 and therefore funding cannot be withdrawn or reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90240	Applied Behavioural Analysis	138,630	110,730	110,730	0

11. SEN Pre School Children

- 11.1 This budget provides one to one support to enable children with SEN to access non maintained and voluntary pre- school settings.
- 11.2 If fewer children were supported or children were offered lower levels of support, it could be possible to make some reduction in this budget.
- 11.3 See Appendix 3 for information on the impact of this funding and the possible impact / risks if this budget were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90238	SEN Pre School Children	50,210	50,210	40,210	10,000

12. Cognition and Learning Team (previously known as the Special Needs Support Team)

- 12.1 The Cognition and Learning Team (CALT) provides advice, support and training to mainstream schools to help them to meet the needs of children with SEN.
- 12.2 A reduction in this budget could be made by charging schools for certain aspects of the service and setting an income target.
- 12.3 See Appendix 4 for information on the impact of the Cognition and Learning Team and the possible impact / risks if this budget were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90280	CALT Team	318,300	341,950	261,950	80,000

13. Sensory Impairment

- 13.1 Support for children with hearing, visual and multi sensory impairments is purchased from the Berkshire Sensory Consortium Service. This includes support from qualified teachers of HI and VI, audiology and mobility support.
- 13.2 West Berkshire Council has a contract with the Sensory Consortium Service which is due for renewal in April 2016, so it is not possible to reduce this budget in 2015-16 but it may be possible to explore savings for 2016-17.
- 13.3 See Appendix 5 for information on the impact of the SCS and the possible impact / risks if this budget were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90290	Sensory Impairment	227,440	227,440	227,440	0

14. Equipment for SEN Pupils

- 14.1 This budget funds large items of equipment such as specialist chairs and communication aids.
- 14.2 This budget could be reduced if equipment was only purchased for children attending mainstream and resourced schools and special schools were expected to fund these large items of equipment from their own budgets.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90565	Equipment for SEN pupils	38,470	33,000	20,000	13,000

15. Engaging Potential

- 15.1 Engaging Potential is a commissioned service providing alternative educational packages for 14 young people in Key Stage 4 with statements for behavioural, emotional and social difficulties whose needs cannot be met in any other provision. An increase in this budget was agreed during 2014-15 because of the need for the project to employ more specialist teaching staff as the group dynamics are such that several students need to be taught on a one to one basis rather than in small groups. Premises costs have also increased since the project was moved to more suitable accommodation.
- 15.2 West Berkshire Council's contract with Engaging Potential has been varied to reflect the higher level of funding agreed in 2013-14. It is therefore not possible to reduce this budget prior to July 2015 when the current contract is due for renewal.
- 15.3 The tendering process is currently underway for a new contract starting in August 2015 which may create opportunities for negotiating a lower price.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90577	SEN Commissioned Provision	459,110	540,260	540,260	0

16. ASD Advisory Service

- 16.1 The ASD Advisory Service provides advice, support and training for mainstream schools on meeting the needs of children with Autistic Spectrum Disorder.
- 16.2 It is not recommended that any reductions are made in this budget due to the significant increase in ASD diagnosis and the pressure for specialist placements for children with ASD.
- 16.3 See Appendix 6 for information on the impact of the ASD Service and the possible impact / risks if this service were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90830	ASD Advisory Service	119,950	127,940	127,940	0

17. Early Intervention

- 17.1 This budget supports the Early Years Language Project. The project supports early intervention for children in pre schools, Foundation Stage and Key Stage 1 with speech and language difficulties, including training for staff in settings and schools and for parents.
- 17.2 Whilst the project has been well received over a number of years, its provision is not a statutory requirement so the project could be ceased if funds are not available for it to continue.
- 17.3 There would be no redundancy costs as project staff are on temporary contracts.
- 17.4 See Appendix 7 for information on the impact of the Early Years Language project and the possible impact / risks if this service were to be lost.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90957	Early Intervention	33,510	26,850	0	26,850

18. SEN Inclusion

- 18.1 This budget supplements the Cognition and Learning Team budget (previously known as the Special Needs Support Team).
- 18.2 No changes to this specific budget are proposed, though a savings target for the main CALT team budget is included in Section 12 of this report.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90965	SEN Inclusion	28,780	29,320	29,320	0

19. Medical Support

- 19.1 This budget has historically been used to fund support for children in mainstream schools with medical needs.
- 19.2 There were no requests from schools for funding from this budget last year.
- 19.3 It is proposed that the budget is deleted.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
	Medical Support	0 (in DSG)	5,000	0	5,000

20. Therapy Services

- 20.1 Therapy Services for children with SEN who have speech therapy or occupational therapy in their Statements are currently funded from the Education Service budget.

- 20.2 A report was brought to the Heads' Funding Group and the Schools' Forum in November / December to request that therapy services be funded from DSG, in line with the practice in the majority of Local Authorities, given the need for significant savings in the Education Service budget.
- 20.3 It is not recommended that there is any reduction in this budget as therapy services are provided by the Authority solely to children who have the need for a service stipulated and quantified in their Statement, and therefore any reduction in service would be unlawful and likely to lead to litigation including judicial reviews.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
	Therapy Services	0 (in DSG)	315,430	315,430	0

21. PRU Outreach

- 21.1 The PRU Outreach Service offers consultancy / outreach support mainly to students who have been attending the Reintegration Service and are starting to attend a mainstream school.
- 21.2 Savings could be made to this budget if schools were prepared to support pupils on reintegration into their schools, or reduce the number of outreach sessions they received.
- 21.3 See Appendix 8 for information on the impact of the PRU Outreach Service and the possible impact / risks if this service were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90582	PRU Outreach Service	197,000	197,000	117,000	80,000

22. Home Tuition

- 22.1 The Home Tuition Service is a statutory service providing home tuition to children with medical conditions and illness that prevent them accessing full time school.

- 22.2 It might be possible to reduce this budget if the Home Tuition Service offered more e-learning packages and reduced external support packages.
- 22.3 See Appendix 9 for information on the impact of the Home Tuition Service and the possible impact / risks if this budget were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90315	Home Tuition	282,000	328,500	300,000	28,500

23. Vulnerable Children

- 23.1 The Vulnerable Children Fund is a small budget used to help schools support their most vulnerable pupils on an emergency, unpredicted or short term basis.
- 23.2 It would be possible to reduce this budget if the number of schools able to access it were reduced (eg. primary only) and / or if the criteria were tightened, for example, funding given for shorter periods, no funding extensions.
- 23.3 See Appendix 10 for information about the impact of this funding and the possible impact / risks if this budget were to be reduced.

Cost centre	Description	2014/15 budget	15-16 budget proposed Nov 14	15-16 budget proposed Jan 15	Difference between Nov and Jan figures
90961	Vulnerable Children	80,000	80,000	60,000	20,000

APPENDIX 1

HNB Savings 2015-16 – Language and Literacy Centres (LALs)

The two LALs in West Berkshire (at Theale and Winchcombe schools) provide intensive literacy support for 48 primary children per year who attend for half a day per week for two terms in Year 5.

Activity and Impact of Service

Over the last 3 years, children attending LAL have made the following progress in reading and spelling:

Salford Reading Test: between 16 and 78 months progress.

WRAT Reading Test: between 6 and 72 months progress.

Helen Arkell Spelling Test: between 15 and 81 months progress.

Options / Recommendations

- It would be possible to close one LAL in April 2015 as the teacher in charge is due to retire. Saving £67,300

Possible impact of savings / risks

- LAL capacity would reduce by 50%
- Children who do not get LAL places may not have their needs fully met in their primary schools
- Funding pressure on primary schools to provide more in house specialist dyslexia support from their own SEN budgets
- Schools would need additional support and training
- Parental dissatisfaction
- Possible increase in requests for Education, Health and Care Plans
- Possible increase in appeals to the SEN Tribunal
- Increase in transport costs to the one remaining LAL

APPENDIX 2

HNB Savings 2015-16 – Specialist Inclusion Support Service (SISS)

The SISS Service (provided by Castle and Brookfields Schools) provides support to children in mainstream schools who have significant learning difficulties and may have other associated difficulties. Advice is given on teaching strategies and resources to enable children to access the mainstream curriculum.

Activity and Impact of Service

The service supported 90 children during the course of the 2013-14 academic year.

49 schools used the service in the 2013-14 academic year.

Feedback from mainstream schools is as follows:

Overall satisfaction with service:

43% excellent

29% good

Quality of advice:

50% excellent

25% good

Progress of pupils:

8% excellent
58% good
34% satisfactory

Options / Recommendations

- It would be possible to either reduce the capacity of the service or charge schools for all or certain aspects of the service
- Reduce budget to £70,000. Saving of £35,650.

Possible impact of savings / risks

- There may be redundancy implications for the special schools
- Increase in demand for other services such as the ASD Mainstream Service and the Cognition and Learning Team
- Increase in EHC Assessment requests
- Increase in demand for special school places
- Financial impact on schools if charges are levied
- Inequity of access to the service for children depending on which schools are able to pay

APPENDIX 3

HNB Savings 2015-16 – SEN Pre School Children

This budget provides funding for one to one support to allow children with significant SEN to access early years settings and take up their 15 hours Government funded pre school provision.
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Activity and Impact of Service

In the 2012-13 financial year, 41 children accessed funding.
In the 2013-14 financial year, 42 children accessed funding.
In the 2014-15 financial year, so far 48 children have accessed funding.
These children would not have been able to attend a pre school setting without the one to one support funded from this budget.
All of these children are known to the Pre School Teacher Counsellor Service.
Their progress towards targets in their individual plans is monitored by the Pre School Teacher Counsellor and the early years setting at the PSTC's regular monitoring visits.

Options / Recommendations

- A small reduction in this budget could be made of £10,000 by reducing the number of children supported or reducing the amount of support available to each child

- It is not possible to make savings by reducing the hourly rate as support staff are paid minimum wage

Possible impact of savings / risks

- Some children with SEN may not be able to access early years education as they would not get any or enough support
- Children would therefore be ill prepared for their move in to school and may be more likely to need a special school placement
- More pressure on the PSTC Service as the service currently discharges children after a set period of time in an early years setting. Children would need to remain on caseload if they did not go in to an early years setting, increasing waiting times for other children to be allocated a Pre School Teacher Counsellor.
- Possible increase in requests for EHC assessments in order to access support through this route and therefore also additional pressure on the mainstream top up budget
- The Council would be vulnerable to claims of disability discrimination as some children with SEN could be prevented from accessing early years education.

APPENDIX 4

HNB Savings 2015-16 – Cognition and Learning Team (previously known as the Special Needs Support Team)

The CALT Team consists of 4.7 FTE SEN teachers and provides support and training for schools in relation to SEN provision and practice.

Activity and Impact of Service

In 2013-14 the team undertook 727 school visits (637 primary, 76 secondary and 14 PRUs). This averages as 10 visits per year per primary school and 8 visits per year per secondary school. Activities include support for SENCOs, modelling intervention programmes, training and assessments.

Feedback from schools shows high levels of satisfaction:

Overall quality of service:

96% Excellent

4% Good

Quality of reports:

85% Excellent

15% Good

Quality of training:

87% Excellent

13% Good

The Team supports schools with implementation of specific literacy and numeracy programmes, including modelling teaching strategies, training staff including TAs and carrying out pre and post intervention assessments of children. For example, in 2013-14, 29 schools participated in the Catch Up Literacy Programme, with a total of 340 pupils on the programme. The average gain in word reading accuracy per pupil per month was 3.31 months. The average gain in reading comprehension per pupil per month was 3.33 months. Overall, the average total gain in literacy skills was 19 months over the course of an x month programme.

Similarly, the team supported schools with implementation of the Fischer Family Trust Wave 3 (SPRINT) programme in 2013-14. 17 schools participated in the programme which is targeted at children in Year 1 working at level 1C or below. The average gain in reading accuracy per pupil per month was 4.5 months. The average gain in reading comprehension per pupil per month was 3.3 months. Feedback from centrally delivered training in 2013-14 was consistently graded 4 or 5 (good or excellent). Pre and post training confidence scores have shown increases in confidence between 2 and 7 points on a 0 to 10 scale.

Options / Recommendations

- Reduce budget by £50,000 and set a £50,000 income target. Charge schools for assessments and possibly some other aspects of support such as in school training.

Possible impact of savings / risks

- Possible redundancy implications / costs if income target cannot be reached.
- Increase in EHC Assessment requests / Tribunals
- Financial impact on schools if charges are levied
- Inequity of access to the service for children depending on which schools are able to pay.

APPENDIX 5

HNB Savings 2015-16 – Sensory Consortium Service

<p>The Sensory Consortium Service is a pan Berkshire Service providing support for children with hearing impairment and visual impairment. The service provides qualified HI and VI teachers, audiology support and mobility officer support for sensory impaired children in mainstream, resourced and special schools.</p>
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Activity and Impact of Service

In 2013-14 academic year there were 197 children on the SCS caseload, 42 with visual impairment and 155 with hearing impairment.

During the same period, 89% of children on caseload fully met their individual targets and 11% partially met their targets. National data (from NATSIP) shows that the achievement of HI and VI pupils in Berkshire, both in terms of progress from KS2 to KS4 and also the percentage scoring 5 A* to C at GCSE including English and Maths, compares very favourably with the national average performance of children with HI and VI. For example, the gap in reading attainment at KS2 between HI and non HI pupils nationally is 10.7% but in Berkshire the gap is 5.3%.

Options / Recommendations

- It is not possible to make any savings on this budget in 2015-16 as the current contract runs to 31st March 2016.
- Discussions are being held with the Sensory Consortium Service to identify potential efficiency savings which could be made to reduce the cost of the service from 2016-17. However, as the majority of the costs are teaching costs it is unlikely that significant savings could be made without eroding the levels of support which children receive from the service.

Possible impact of savings / risks

- Reduction in the amount of support available to children with HI and VI and to the staff who support them in school.
- Increased requests for EHC Assessments for those children on caseload who do not already have a Statement.
- Complaints from parents
- Possibility of litigation if HI or VI children with Statements are not receiving the level of support stipulated in the Statement
- Increase in requests for specialist placements in resourced schools and independent / non maintained special schools

APPENDIX 6

HNB Savings 2015-16 – ASD Advisory Service

The ASD Advisory Service provides support to mainstream schools to meet the needs of children with autistic spectrum disorder

Activity and Impact of Service

460 children are currently on the caseload. The caseload has been increasing significantly due to the rise in ASD diagnoses.

Feedback from schools:

Overall rating:

46% excellent

38% good

Quality of reports:

34% excellent
62% good
Training
80% excellent
20% good

Options / Recommendations

It is not recommended that any savings are made to this particular budget due to the high likelihood of additional pressure for expensive independent or non maintained special school placements.

Possible impact of savings / risks

The number of children diagnosed with ASD in mainstream schools has been increasing very significantly in recent years. It can be challenging for mainstream schools to meet the needs of children with ASD. If this service were to be reduced, there would be a number of risks:

- Increased requests for EHC assessments for children who currently have their needs met without a Statement / EHC Plan
- Possible exclusions of children with ASD
- Greater pressure for limited places in resourced ASD units at Theale Primary and Theale Green Secondary.
- Greater pressure for children to be placed in independent and non maintained special schools for ASD.

APPENDIX 7

HNB Savings 2015-16 – Early Intervention

This budget funds the Early Years Language Project. The project is staffed by a part time teacher and a part time speech and language therapist and delivers training on meeting the needs of children with speech and language difficulties to early years settings, Key Stage One staff and parents.
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Activity and Impact of Service

Academic year: 2012-13

KS1 training (teachers and TAs) had 20 participants
Feedback showed an increase in confidence to identify difficulties and support difficulties of between 2.27 and 3.05 on a 1-10 scale
Let's Get Talking (Preschool staff) had 40 participants
Feedback showed an increase in confidence to identify difficulties and support difficulties of between 2.9 and 2.93 on a 1-10 scale

Academic year: 2013-14

KS1 training (teachers and TAs) had 19 participants

Feedback showed an increase in confidence to identify difficulties and support difficulties of between 1.75 and 2.75 on a 1-10 scale
Let's Get Talking (Preschool staff) had 20 participants
Feedback showed an increase in confidence to identify difficulties and support difficulties of between 2 and 3 on a 1-10 scale
Feedback from parent sessions run at Children's Centres showed an increase in confidence to identify difficulties and support difficulties of average 2.15 on a 1 to 10 scale and an overall rating for the training of 4.5 on a 0-5 scale.

Options / Recommendations

- Cease the project at the end of 2014-15 financial year, giving a saving of £26,850.

Possible impact of savings / risks

- No redundancy costs as the teacher's post is fixed term and the speech therapy input is part of a contract with Health which can be renegotiated for 2015-16.
- Reduced access for early years settings and Key Stage One staff to training on meeting the needs of children with speech and language difficulties (a high incidence need)
- Possible increased pressure for speech and language therapy

APPENDIX 8

High Needs Block Savings 2015–16 - PRU Outreach

The PRU Outreach Service offers consultancy/outreach support mainly to students who have been attending the Reintegration Service and are starting to attend a mainstream school.

Application and impact this year so far:

- A designated tutor for LAC pupils to ensure rapid entry into the PRU for new admissions into the LA.

Savings could be made to this budget if schools were prepared to support pupils on reintegration into their schools, or reduce the number of outreach sessions they received. There are staffing implications.

Potential Impact of savings:

- i. Reduction in support for vulnerable pupils re-attending mainstream schools.

- ii. Potential increase in failed placements leading to an increased pressure on places at PRUs.
- iii. No additional support for Fresh Starts.
- iv. Pressure on other support teams.

Recommendations

1. A reduction to the budget of £80,000. This would include the sickness cover which was only required in 2013/14, and some reduction in staffing capacity. Retain the LAC Post to ensure LAC support. Remainder of budget to be incorporated into the base RS budget and used flexibly according to total number of students in RS i.e. when bases are not full. Or
2. A total removal of budget and an expectation that Outreach will only be provided when there is 'flex' in the admissions of students to the RS, or purchased by schools on an individual student basis.

APPENDIX 9

High Needs Block Savings 2015-16 - Home Education on medical grounds

The Home Education Service is a statutory service providing Home Tuition to children with medical conditions and illness that prevent them accessing full-time school.

Application and impact this year so far:

This is a needs-led budget.

- The number of sick pupils requiring Home Tuition on medical grounds has increased and therefore there is a pressure due to increased supply/casual teachers.
- The statutory guidance has changed to require more than the previous 5hrs teaching time. The new rules state that pupils should have 25hrs education per week if they are able to access it, and should be increasing their education gradually.
- It may be possible to reduce the expenditure on external providers of £15,000. However, this is an important part of reintegration and it may cost more to provide casual teachers than the cost of the external providers.
- Some Local Authorities may be recouping funding from schools at a rate of one thirty-eighth of the AWPU per week.

Recommendations

1. No change to this budget, or

2. Consider recouping some costs from schools as above, or
3. Reduce by £28,500 (arbitrary amount) and request the Home Tuition Service investigate further e-learning packages and reduce external packages. Numbers may be lower next year but we have no way of forecasting.

APPENDIX 10

High Needs Block Savings 2015-15 - Vulnerable Children's Fund

The Vulnerable Children Fund is a small budget used to help schools support their most vulnerable pupils on an emergency, unpredicted or short-term basis.

Application and impact this year so far:

- 39 schools accessing fund (33 primary, 6 secondary)
- 59 pupils receiving support (50 primary, 9 secondary)
- Actual and estimated costs primary (£65,016) secondary (£13,816)
- Use of support:
 - Additional TA (91% of total)
 - External packages (4%)
 - Specialist holiday scheme (0.76%)
 - Medical support (3.6%)
- Pupils supported include those with:
 - Challenging behaviour
 - Unstable diabetes
 - In-year admissions with SEN or behaviour difficulties
 - Bereavement needs
 - early intervention in Foundation stage for those not 'school ready.'
 - 2 primary unaccompanied asylum seekers from Afghanistan
 - LAC pupils moving into the LA

Savings could be made to this budget up to the full amount of £80,000. There are no staffing implications.

Potential Impact of savings:

- v. Increase in permanent exclusions due to lack of additional TA support (and an increased pressure on places at PRUs)
- vi. No additional funding support for Fresh Start
- vii. No additional funding for unexpected admissions
- viii. No additional funding for pupils with challenging behaviour prior to an EHC assessment and plan
- ix. No additional early intervention support

A blanket reduction of this budget would affect small primary schools disproportionately.

Recommendations

3. A reduction to the budget of £20,000. Remainder (£60,000) used to support Primary Schools only. Or
4. A reduction to the budget of £20,000. Remainder (£60,000) used to support all schools, but with stricter criteria e.g. funding given for shorter periods, no funding extensions. Or
5. A total removal of budget.

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West Berkshire Schools' Forum	
Title of Report:	PRU Budget Proposals 2015/16
Date of Meeting:	19th January 2015
Contact Officer(s)	Cathy Burnham
For Discussion	

1. Background

The way our two PRUs (Reintegration Service and Alternative Curriculum) receive their funding changed in April 2013 in line with the Government's school funding reforms for High Needs pupils. Schools were briefed about the financial changes for 2013/14 and in Nov 2013 and July 2014 were consulted again on alterations to the arrangements to fund Top Ups. The Schools Funding Forum requested a mid-year review to consider the impact of these alterations, with a further request for details with specific reference to the pressure on the High Needs Block. (for previous information on PRU funding see Nov 2014 HFG paper).

2. Current funding methods for Top Up only

The current funding arrangements (previously agreed with schools) are as follows:

- Four bands £74.69 - £160.72 per day
- Banding based on staffing ratio (e.g. Band 2: teacher 1:6, TA 1:6, + 25% 1:1)

Figure 1: Agreed Funding Arrangement

	Cost to school	Duration of payment	Cost to High Needs Block
Primary – Reintegration Service	50% Lowest banding only	12 weeks maximum	Difference between lowest banding and actual banding. Difference between 12 weeks and actual duration of placement.
Secondary – Reintegration Service	Lowest banding only	6 weeks only	As above – 6 weeks.
Secondary – KS4 Alternative Curriculum	£1500 per term pro rata. (Equivalent to AWPU)	Maximum of 2 years	Difference between AWPU and actual banding.

3. Forecast Year End Outturns for The Pupil Referral Units and the High Needs Block PRU Top Up Cost Centre Financial Year 2014-15

3.1. The current budget for financial year 14-15 is £1,205m. As at month eight budget monitoring (November 2014), the PRU Top Up budget held within the High Needs Block was forecasting a year end overspend of £500k. The PRUs themselves are forecasting year end outturns of:

- Alternative Curriculum £265k surplus (Of which £138,000 from 13/14 is held for contingency to repair buildings, and £115,000 is held as contingency for potential future running costs).
- Reintegration Service £135k surplus (£120,000 is held as contingency for potential future running costs).

3.2. The following table sets out the changes to the overall PRU budgets (both the Alternative Curriculum and the Reintegration Service), from financial year 2010-11 to the current financial year 2014-15. Overall the increase to the PRU budget has been £670k.

Figure 2: PRU Budgets Financials Years 2010-11 through to 2014-15

Budget Book Figures		FY 10-11	FY 11-12	FY 12-13	FY 13-14	FY 14-15
90320	Pupil Referral Units	1,207,310	1,421,250	1,455,830	672,000	672,000
90625	Pupil Referral Units - Top Up Funding	0	0	0	812,610	1,205,500
		1,207,310	1,421,250	1,455,830	1,484,610	1,877,500
	Change in budget year on year:		213,940	34,580	28,780	392,890

4. Financial Year 2015-16 Budget Proposal

4.1. A £500k increase to the 2014-15 funding level has previously been proposed for financial year 2015-16, i.e. a top up budget of £1.705m.

4.2. Post subsequent review amended proposals for the PRU Top Up budget are as follows:

- The 2014-15 budget is inflated by 2% to provide a base budget of £1.229m. Inflation will cover all salary and contractual increases. The increase in funding required from the High Needs Block is £24k for 2015-16.
- In order to mitigate against further pressures on the Top Up Budget a *single funding band* is proposed for all students. Actual costs per student will be calculated by the PRUs and interventions adapted accordingly. Other Local Authorities have also adopted this funding method.
- The new single daily banding rate will be **£103.25**. This is based on the average of the four banding rates, amended due to the change of base funding (from £8,000 per place to £10,000).

4.3. Current funding agreements outlined in figure 1 are:

4.3.1 anticipated to remain in place for financial year 2015-16, however, should a significant pressure be realised on the Top Up commissioning budget in year these arrangements will be reviewed,
Or

4.3.2 reviewed immediately, in time for April 2015. This would include schools paying a greater proportion of the real costs, either by increasing payments to the *single banding rate*, and/or increasing the duration of payment.

5. Recommendations

The Heads' Funding Group to review and consider recommendation to the Schools' Forum:

- 1) For financial year 2015-16 a single banding to be introduced across both Pupil Referral Units.
- 2) For financial year 2016-17 the PRU Top Up budget to be de-delegated to schools and schools directly commission services from PRUs, other alternative providers or develop their own resources.

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West Berkshire Schools' Forum	
Title of Report:	Draft Early Years Funding & Budget 2015/16
Date of Meeting:	19th January 2015
Contact Officer(s)	Claire White & Maria Shepherd
For Discussion	

1. Budget Forecast 2014/15

1.1 Funding for the 2014/15 early years block will not be confirmed until June 2015 due to 3 and 4 year old funding being based on 5/12 of the January 2014 census and 7/12 of the January 2015 census. Therefore the forecast budget position for 2014/15 is currently based on an estimate of funding to be received following the January 2015 census, and expenditure based on actual to date and an estimate of provider hours to be funded in the spring 2015 term.

1.2 The current forecast is summarised below (**note that this will be updated following receipt of January 2015 census data and Spring payments**)

	Budget 2014/15	Forecast 2014/15	Variance 2014/15
2 Year old Funding	1,267,230	500,000	-767,230
3 & 4 Year Old Funding	6,231,180	6,569,880	338,700
Contingency	258,210	0	-258,210
Central EY Funding	99,390	83,790	-15,600
DSG EY Block Grant	-7,856,010	-7,915,330	-59,320
TOTAL	0	-761,660	-761,660

1.3 It is expected that only a small proportion of the overspend on 3 and 4 year olds (due to the increased take up of hours) will be offset by an increase in the DSG grant.

1.4 The funding received for 2 year olds was based on 254 deprived children accessing the 15 hours free entitlement in the summer term 2014, and 449 children from September 2014. The build up has been slow and there are currently 300 children resulting in the forecast underspend (which is as expected). This will partly be utilised to offset the overspend on 3 and 4 year old funding.

1.5 It is proposed that the overall early years underspend be ringfenced to the Early Years block and be carried forward to continue to plug the gap in the shortfall in 3 and 4 year old funding. This will enable funding rates to remain the same for a further year rather than reduce funding going to all providers.

2. Draft Funding Allocation for 2015/16

2.1 The Dedicated Schools Grant (DSG) for 2015/16 was announced on 17th December 2014. The Early Years Block is calculated as follows:

- 3 & 4 year olds: the funding rate per child is the same as for 2013/14 and 2014/16 (£3,911 for West Berkshire). Initially the DfE has multiplied this by nursery numbers from the January 2014 Early Years Census. The final allocation will be based on 5/12 x January 2015 nursery numbers plus 7/12 x January 2016 nursery numbers.
- 2 year olds: no indicative allocation has been provided by the DfE yet, although the funding rate per child has been fixed at the same hourly rate as for 2013/14 and 2014/15 (£5.36 for West Berkshire Council). The allocation will be calculated the same as for 3 & 4 year olds, though there may be an uplift in September if numbers are significantly higher than in January. For the purpose of setting the budget, it has been assumed that there will be on average 325 children accessing the funding during 2015/16.
- There is an indicative amount provided for the early years Pupil Premium.

2.2 The funding calculation for 2015/16 is as follows (**note that this will be updated following receipt of actual January 2015 census data**):

3 & 4 Year old funding:		
Total FTE January 2015 census	1,561.00	A
Guaranteed Unit of Funding	£3,911	B
Estimated 3 & 4 Year Old Allocation	£6,105,070	(A x B)
2 Year old Funding:		
Estimated number of Children FTE	195.00	C
Guaranteed Unit of Funding	£5,092	D
Estimated 2 Year Old Allocation	£992,940	(C x D)
Plus: Indicative Pupil Premium Grant	£74,590	
Plus: Carry Forward from 2014/15	£761,660	
TOTAL	£7,934,260	

2.3 The above calculation for 3 and 4 year old funding is based on an estimate of the January 2015 census. It is assumed that any significant change in actual hours paid in year will be largely offset by the final calculation of DSG using January 2016 census data.

2.4 Although the funding for each DSG block is not ring fenced it is strongly advised that where possible funding is allocated to children/pupils it is intended for. The above figures also assume that the carry forward from 2014/15 remains within this block.

3. Draft Budget Proposal for 2015/16 from The Early Years Steering Group

- 3.1 It is still the Government's intention to move to a national funding formula for early years. The Group is proposing that there will be no changes to the early year's formula for 2015/16 in order to give settings continued stability in their funding.
- 3.2 By utilising the carry forward from 2014/15, the hourly funding rates for 3 and 4 year olds can be maintained. This budget has been calculated assuming the same actual number of hours funded in 2014/15 and that any in year increase in hours will be met by an increase in DSG (though experience has shown that this is not usually the case and there tends to be a shortfall). On this basis there is an in-year shortfall of £388k to be met from the carry forward, and the Group is fully aware that some very difficult decisions regarding the formula and funding rates may need to be made next year for the 2016/17 budget. The group wish it to be noted that their costs have significantly increased over the last few years with no increase to the funding rate, and a reduction in the funding rate would make it extremely difficult for some settings to continue. The main reasons for the shortfall in funding are the removal of 3 year old population funding, with funding now based on actual participation funding (which was an additional £364k in 2013/14 and £728k in 2012/13) and the increase in qualified staff within provisions which attracts a higher funding rate.
- 3.3 The hourly funding rate for 2 year olds will also be maintained, and the budget assumes that the funding received (now on a participation basis rather than population basis) will equal the actual costs.
- 3.4 The method of allocating deprivation funding to settings will be reviewed between now and March and it is likely that the same methodology to be used to allocate the pupil premium grant will be adopted for this element of the formula.
- 3.5 The centrally retained early year's budget contains the staffing costs of central support and advice for providers, and for determining eligibility of a child for early year's provision. The budget requirement for 2015/16 has gone down.
- 3.6 This leaves approximately £374,000 in contingency (depending on the actual final carry forward from 2014/15 and January 2015 census). This is required due to the volatility of 2, 3 & 4 year old places funded during the year, for which increases in numbers are unlikely to be funded in full by an increase in DSG.
- 3.7 The draft budget proposed for 2015/16 compared to 2014/15 is summarised as follows:

	Budget 2014/15	Budget 2015/16
2 Year old Funding	1,267,230	992,940
3 & 4 Year Old Funding	6,231,180	6,412,650
Central EY Funding	99,390	79,820
Early Years Pupil Premium		74,590
Contingency	258,210	374,260
TOTAL	7,856,010	7,934,260

RECOMMENDATION:

1. That the Early Years Block underspend in 2014/15 be carried forward to the Early Years Block in 2015/16
2. The Early Years draft budget proposals are noted. Final proposals will be brought to the next meeting of the Schools' Forum once the January census data has been taken onto account and the deprivation formula has been reviewed.

West Berkshire Schools' Forum	
Title of Report:	Update on 2014/15 Growth Fund and Falling Rolls Fund
Date of Meeting:	19th January 2015
Contact Officer(s)	Claire White
For Information	

1. Background

1.1 Under current school funding regulations, Local Authorities are allowed to top slice from the Dedicated Schools Grant (DSG) funding for a Growth Fund and Falling Rolls Fund.

1.2 The Growth Fund is to support maintained schools and Academies *required* to provide extra places/classes in order to meet basic need within the authority, and funding schools where very limited pupil number growth requires an additional class *as set out by infant class size regulations*. It is not payable where schools have chosen to put on an additional class, but actual pupil numbers do not require them to do so. The Schools' Forum agreed the criteria for the 2014/15 Growth Fund at its meeting on 7th October 2013, and set aside a budget of £250,000.

1.3 The Falling Rolls Fund is to support good and outstanding schools with temporary falling rolls due to a population dip and where numbers are expected to rise again in 2 to 3 years time. The Schools' Forum agreed the criteria for the 2014/15 Growth Fund at its meeting on 7th October 2013, and set aside a budget of £120,000

1.4 Following the October 2014 Census, all schools were invited to make a funding request if they felt that their circumstances met the growth fund criteria. A review of the relevant pupil number data by Finance also identified schools that may potentially qualify for funding. To support their applications, schools were asked to submit information regarding increases in class and teacher numbers between the two academic years.

2. Budget Position

2.1 Six schools meet the Growth Fund criteria and the relevant payments have been approved by the Head of Education as follows (the detailed calculations are in Appendix A):

Calcot Junior £29,167
 John Rankin Infants £29,167
 Purley £8,340
 Spurcroft £29,167
 Theale Primary £23,333
 The Willows £29,167

2.2 No schools are eligible for the Falling Rolls fund – in order to qualify schools need to be good or outstanding, and no such schools are experiencing a significant fall in pupil numbers.

2.3 The overall position on these budgets is as follows:

	Growth Fund	Falling Rolls Fund
DSG Budget Set Aside	£250,000	£120,000
Payments	£148,341	£0
Unspent Balance	£101,659	£120,000

2.4 The total underspend of £221,659 will be carried forward and added to next year's schools block allocation of the DSG, after offsetting any overspends in other budgets within this funding block.

Appendices

Appendix A – Detailed Calculation of Growth Funding per School

Growth Fund Applications and Payments 2014/15

Criteria:

Infant Class size - Additional class required in autumn term as total number of R, yr 1 and yr 2 pupils has now exceeded a multiple of 30
 OR
 Extra class in September to meet basic need
 OR
 Increase in Admission number in September by 5 or more

Funding:

Infant Class Size - £40,000 per class pro rata from September 2014 (7/12 for maintained schools)
 OR (for extra class) 50% AWPU pro rata from September 2014 to March 2015:
 Primary £2,858 x 7/12 = £1,667 per pupil in additional class
 Secondary: £4,346 x 7/12 = £2,535 per pupil in additional class
 (Maximum set at £50,000 x 7/12 = £29,167)
 OR (for increase in admission number) 50% AWPU pro rata from September 2014 to March 2015:
 Primary £2,858 x 7/12 x 50% = £834 per additional pupil
 Secondary: £4,346 x 7/12 x 50% = £1,268 per additional pupil
 (Maximum set at £25,000 x 7/12 = £14,583)

GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception			0	Reception	0	0	
Year 1			0	Year 1	0	0	
Year 2			0	Year 2	0	0	
Year 3	63	79	16	TOTAL	0	0	0
Year 4	53	58	5				
Year 5	57	60	3	No. Classes Req'd	Jul-13	Oct-13	
Year 6	55	59	4	Actual No. Classes			0
TOTAL	228	256	28	Additional classes to be funded:			0
	Jul-14	Oct-14					
No. Classes Req'd	8	9	1				
Actual No. Classes	8	9	1				
Actual No. Teachers (FTE)	8.00	9.00	1				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000			0
	28	£1,667	29,167				

school has additional class and teacher from September 2014

GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	27	24	-3	Reception	27	24	
Year 1	21	28	7	Year 1	21	28	
Year 2	14	24	10	Year 2	14	24	
Year 3	21	17	-4	TOTAL	62	76	14
Year 4	22	25	3				
Year 5	20	25	5	No. Classes Req'd	Jul-13	Oct-13	
Year 6	20	31	11	Actual No. Classes			0
TOTAL	145	174	29	Additional classes to be funded:			0
	Jul-14	Oct-14					
No. Classes Req'd	5	6	1				
Actual No. Classes	7	7	0				
Actual No. Teachers (FTE)	7.00	7.00	0				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000			0
			0				

school has not increased its number of classes & teachers in Sept 2014 & doesn't need to

GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	65	77	12	Reception	65	77	
Year 1	72	71	-1	Year 1	72	71	
Year 2	56	71	15	Year 2	56	71	
Year 3	54	54	0	TOTAL	193	219	26
Year 4	53	49	-4				
Year 5	47	54	7	No. Classes Req'd	Jul-13	Oct-13	
Year 6	56	44	-12	Actual No. Classes			1
TOTAL	403	420	17	Additional classes to be funded:			1
	Jul-14	Oct-14					
No. Classes Req'd	14	14	0				
Actual No. Classes	16	17	1				
Actual No. Teachers (FTE)	16.00	17.00	1				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000			0
			0				

school has additional class and teacher in KS1 - but this is not required

GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	84	83	-1	Reception	84	83	
Year 1	88	84	-4	Year 1	88	84	
Year 2	58	89	31	Year 2	58	89	
Year 3			0	TOTAL	230	256	26
Year 4			0				
Year 5			0	No. Classes Req'd	Jul-13	Oct-13	
Year 6			0	Actual No. Classes			1
TOTAL	230	256	26	Additional classes to be funded:			1
	Jul-14	Oct-14					
No. Classes Req'd	8	9	1				
Actual No. Classes	8	9	1				
Actual No. Teachers (FTE)	8.00	9.00	1				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000			23,333
	26	£1,667	29,167				

school has additional class and teacher in KS1

Purley				OR INFANT CLASS SIZE FUNDING			
GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	20	15	-5	Reception	20	15	
Year 1	20	19	-1	Year 1	20	19	
Year 2	20	21	1	Year 2	20	21	
Year 3	8	15	7	TOTAL	60	55	-5
Year 4		8	8		Jul-13	Oct-13	
Year 5			0	No. Classes Req'd	2	2	0
Year 6			0	Actual No. Classes	2	2	0
TOTAL	68	78	10	Additional classes to be funded:		0	
	Jul-14	Oct-14					
No. Classes Req'd	3	3	0				
Actual No. Classes	3	3	0				
Actual No. Teachers (FTE)	3.00	3.00	0				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000	0		
	10	£834	8,340				
school has increased number of year groups							

Spurcroft				OR INFANT CLASS SIZE FUNDING			
GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	72	73	1	Reception	72	73	
Year 1	60	70	10	Year 1	60	70	
Year 2	58	57	-1	Year 2	58	57	
Year 3	48	58	10	TOTAL	190	200	10
Year 4	45	49	4		Jul-13	Oct-13	
Year 5	44	45	1	No. Classes Req'd	7	7	0
Year 6	45	45	0	Actual No. Classes	7	8	1
TOTAL	372	397	25	Additional classes to be funded:		0	
	Jul-14	Oct-14					
No. Classes Req'd	13	14	1				
Actual No. Classes	13	15	2				
Actual No. Teachers (FTE)	13.00	15.00	2				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000	0		
	25	£1,667	29,167				
school has 2 additional classes and teachers but only needs 1 - capped at £29k							

Theale Primary				OR INFANT CLASS SIZE FUNDING			
GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	42	44	2	Reception	42	44	
Year 1	47	41	-6	Year 1	47	41	
Year 2	30	47	17	Year 2	30	47	
Year 3	31	30	-1	TOTAL	119	132	13
Year 4	30	32	2		Jul-13	Oct-13	
Year 5	31	32	1	No. Classes Req'd	4	5	1
Year 6	30	30	0	Actual No. Classes	4	5	1
TOTAL	241	256	15	Additional classes to be funded:		1	
	Jul-14	Oct-14					
No. Classes Req'd	9	9	0				
Actual No. Classes	8	9	1				
Actual No. Teachers (FTE)	8.00	9.00	1				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000	23,333		
			0				
infant class size additional class required							

The Willows				OR INFANT CLASS SIZE FUNDING			
GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	45	59	14	Reception	45	59	
Year 1	49	49	0	Year 1	49	49	
Year 2	37	54	17	Year 2	37	54	
Year 3	31	42	11	TOTAL	131	162	31
Year 4	35	32	-3		Jul-13	Oct-13	
Year 5	20	33	13	No. Classes Req'd	5	6	1
Year 6	34	22	-12	Actual No. Classes	5	6	1
TOTAL	251	291	40	Additional classes to be funded:		1	
	Jul-14	Oct-14					
No. Classes Req'd	9	10	1				
Actual No. Classes	9	12	3				
Actual No. Teachers (FTE)	9.00	12.00	3				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000	23,333		
	40	£1,667	29,167				
school is running additional 3 classes but only needs 1 additional class for the pupil numbers. Capped at £29k							

The Winchcombe				OR INFANT CLASS SIZE FUNDING			
GROWTH FUNDING				OR INFANT CLASS SIZE FUNDING			
Year Group	Oct-13	Oct-14	Increase	Year Group	Oct-13	Oct-14	Increase
Reception	58	60	2	Reception	58	60	
Year 1	60	59	-1	Year 1	60	59	
Year 2	44	56	12	Year 2	44	56	
Year 3	40	45	5	TOTAL	162	175	13
Year 4	29	42	13		Jul-13	Oct-13	
Year 5	30	33	3	No. Classes Req'd	6	6	0
Year 6	27	27	0	Actual No. Classes	6	6	0
TOTAL	288	322	34	Additional classes to be funded:		0	
	Jul-14	Oct-14					
No. Classes Req'd	10	11	1				
Actual No. Classes	12	11	-1				
Actual No. Teachers (FTE)	13.70	12.80	-0.9				
Pupils in Add'l Class:	No.	Rate	Funding	TOTAL FUNDING: 7/12 of £40,000	0		
			0				
school has not increased its number of classes & teachers in September 2014							

West Berkshire School's Forum	
Title of Report:	DSG Monitoring 2014-15, Month 9
Date of Meeting:	19th January 2015
Contact Officer(s)	Ian Pearson, Shannon Coleman-Slaughter
For Discussion	

1. Background

- 1.1 The main source of funding for schools is the Dedicated Schools Grant (DSG). It is a ring fenced specific grant and can only be used on school/pupil activity.
- 1.2 The grant is split into three funding blocks. The schools block is calculated by multiplying a guaranteed unit of funding per pupil (set by the DfE) by the actual pupil numbers from the October census count (so October 2013 census for 2014/15 budget); the early years block is calculated by multiplying a guaranteed unit of funding 5/12 from the January 2013 early years census, and 7/12 from the January 2014 early years census; the high needs block is a fixed sum based on the actual budget set by the Council in 2012/13.
- 1.3 The Local Authority is required to use national formula factors but applies local rates to distribute funding to schools.
- 1.4 Centrally Retained Overspends, unless funded from outside the DSG, are carried forward and top sliced from the following year's DSG allocation. Underspends must be carried forward to support the school's budget in future years.
- 1.5 The Authority and Schools' Forum are responsible for ensuring that the DSG is deployed correctly, and monitoring of spend against the DSG needs to take place regularly to enable decision making on overspends/underspends and to inform future year budget requirements.

2. Monitoring Position as at Month 9 (2014-15)

- 2.1 At month 9 the total DSG year end forecast underspend position is £193k across all three funding blocks. The previous reporting period for the DSG was month 7. At month 7 the forecast underspend was £212k.

Figure 1: Financial Position as at Month 9 (December 2014). A further analysis per cost centre is shown in Appendix A:

	Total Budget £	Actual Spend Forecast Month 9 £	Month 9 Forecast Outturn Variance £	Month 7 Forecast Outturn Variance £
Schools Block (inc ISB)	64,302,380	64,301,380	-1,000	8,000
Early Years Block	7,828,920	7,828,920	0	0
High Needs Block	16,496,140	16,303,640	-192,500	-220,740
Total Net Expenditure	88,627,440	88,433,940	-193,500	-212,740
Support Service Recharges	720,890	720,890	0	0
Total Expenditure	89,348,330	89,154,830	-193,500	-212,740
DSG Grant	-89,348,330	-89,348,330	0	0
Net Position	0	-193,500	-193,500	-212,740

2.2 The Schools Block inclusive of the ISB is forecasting a year end under spend position of a £1k. At month 7 the block was forecasting a £8k overspend at year end. The £9k change is the result of increased savings on supplies and services being realised within the Servicing of Schools Forums and Admissions services. Within the block £16k of forecast savings (£9k Servicing of School's Forum and £7k Admissions), is offsetting the pressure of £15k forecast against Behaviour Support Services. The Behaviours Support Service pressure is the result of lower than anticipated income being generated from academies buying back into the service.

2.3 The Early Years Block as at month 7 continues to forecast a year end position of on line.

2.4 The High Needs Block is forecasting a year end underspend position of £192k. A £212k year end underspend was forecast at month 7. The main areas of individual pressure within the high needs block forecasting pressures in excess of £100k are:

- Special Schools (top ups) £104k pressure
- Academy Schools (top ups) £125k pressure
- Pupil Referral Units (top ups) £400k pressure

2.5 The main single area of pressure within the High Needs Block is the pressure forecast against the Pupil Referral Unit top up cost centre (£400k).

2.6 Within the High Needs Block the top up cost centres (including Pupil Referral Units), are forecasting a net year end under spend position of a £190k. This is a net forecast between a total top up pressure of £539k offset by a £729k underspend on the contingency budget. The top up cost centres with forecast pressures in excess of £50k are:

- Academy Schools (top Ups) £125k pressure
- Special Schools (top ups) £104k pressure
- Non West Berkshire Special Schools £50k pressure
- Pupil Referral Units (top Ups) £400k pressure

2.7 Non top up cost centres forecasting pressures within the High Needs Block are:

- Home Tuition £47k pressure as a result in the increased number of home tutors to support children.

2.8 £49k has been vired from the High Needs Contingency budget into the SEN Commissioned Provision budget to cover in year contractual increases. This virement was agreed at the School's Forum 14.7.2014.

Appendices

Appendix A – DSG 2014-15 Budget Monitoring Report as at 31st December 2014

Appendix A

Dedicated School's Grant (DSG) 2014-15 Budget Monitoring Month 9

Budget manager	Cost Centre	Description	Orginal Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Ian Pearson	90020	Primary Schools	46,433,670		46,433,670	46,433,670	0	
Ian Pearson	90025	Secondary Schools	16,621,740		16,621,740	16,621,740	0	
Maxine Slade	90035	LAC Pupil Premium	0		0	0	0	
Ian Pearson	90112	Special Costs Primary	24,960		24,960	24,960	0	
Ian Pearson	90117	Special Costs Secondary	11,880		11,880	11,880	0	
Ian Pearson	90230	Schools in Financial Difficulty	115,470	115,680	231,150	231,150	0	
Ian Pearson	90235	School Delegated Contingency	370,000		370,000	370,000	0	
Maxine Slade	90255	Virtual School Service	168,130		168,130	168,130	0	
Cathy Burnham	90349	Behaviour Support - DSG	142,060		142,060	157,060	15,000	Pressure on income
Caroline Corcoran	90583	CLA/MPA Licences	76,120		76,120	76,120	0	
Ian Pearson	90019	DSG Servicing of Schools' Forum	42,220		42,220	33,220	-9,000	Supplies and Services Underspend
Caroline Corcoran	90743	Admissions	180,450		180,450	173,450	-7,000	Project monies now not likely to spent.
Schools Block Total			64,186,700	115,680	64,302,380	64,301,380	-1,000	
Ian Pearson	90010	Nursery Schools	809,470		809,470	809,470	0	
Maria Shepherd	90017	Early Years Support Team	72,300		72,300	72,300	0	
Maria Shepherd	90018	Expenditure on 2 year olds	1,267,230		1,267,230	1,267,230	0	
Maria Shepherd	90036	Early Years Funding for PVI	4,308,760		4,308,760	4,308,760	0	
Ian Pearson	90037	Early Yrs Funding Maintained Sector	1,112,950		1,112,950	1,112,950	0	
Maria Shepherd	90051	Early Years Funding - Contingency	354,540	-96,330	258,210	258,210	0	
Early Years Block Total			7,925,250	-96,330	7,828,920	7,828,920	0	
Ian Pearson	90026	Academy Schools RU Top Ups	252,610		252,610	376,950	124,340	Based on current demand
Nicola Ponton	90539	Special Schools - Top Up Funding	2,465,120		2,465,120	2,568,740	103,620	Based on current demand
Nicola Ponton	90548	Non WBC Special Schools - Top Up Funding	663,900		663,900	698,080	34,180	Increase in demand for Thames Valley Free School placements
Nicola Ponton	90575	Non LEA Special School (OofA)	889,740		889,740	892,280	2,540	
Nicola Ponton	90579	Independent Special School Place & Top Up	1,476,030		1,476,030	1,524,550	48,520	Based on current demand
Nicola Ponton	90580	Further Education Colleges Top Up	1,345,340		1,345,340	1,181,810	-163,530	Costs have emerged for late Students joining Newbury College
Nicola Ponton	90617	Resourced Units top up Funding maintained	335,060		335,060	302,590	-32,470	Based on current demand
Nicola Ponton	90618	Non WBC Resourced Units - Top Up Funding	15,300		15,300	23,300	8,000	One additional pupil
Nicola Ponton	90621	Mainstream - Top Up Funding maintained	572,830		572,830	539,410	-33,420	Based on current demand
Nicola Ponton	90622	Mainstream - Top Up Funding Acadamies	161,940		161,940	197,310	35,370	Based on current demand
Nicola Ponton	90624	Non WBC Mainstream - Top Up Funding	50,700		50,700	62,840	12,140	2 New Pupils
Cathy Burnham	90625	Pupil Referral Units - Top Up Funding	1,205,500		1,205,500	1,605,500	400,000	Pressure reduced in line with recent information received from RS/AC
Jane Seymour	90237	Special Needs Delegated Contingency	1,500,780	-770,860	729,920	0	-729,920	
High Needs Block: Top Up Funding Total			10,934,850	-770,860	10,163,990	9,973,360	-190,630	
Cathy Burnham	90320	Pupil Referral Units	672,000		672,000	672,000	0	
Ian Pearson	90540	Special Schools	2,860,000	25,000	2,885,000	2,885,000	0	
Rhian Ireland	90555	LAL Funding	134,600		134,600	134,600	0	
Nicola Ponton	90584	Resourced Units - Place Funding (70)	500,000	12,500	512,500	512,500	0	
Cathy Burnham	90582	PRU Outreach	197,000		197,000	197,000	0	
Jane Seymour	90585	HN Outreach Special Schools	105,650		105,650	105,650	0	
High Needs Block: Place Funding Total			4,469,250	37,500	4,506,750	4,506,750	0	
Ian Pearson	90038	Pupil Premium -	0		0	0	0	
Ian Pearson	90236	Managed Moves/Exclusions Contingency	0		0	0	0	
Rhian Ireland	90238	Sen Pre School Childm	50,210		50,210	50,210	0	
Nicola Ponton	90240	Applied Behaviour Analysis	138,630		138,630	110,730	-27,900	One pupil moved to Engaging Potential
Rhian Ireland	90280	Specil Needs Spprt Team	318,300		318,300	318,300	0	
Jane Seymour	90290	Sensory Impairment	227,440		227,440	227,440	0	
Cathy Burnham	90315	Home Tuition	282,000		282,000	328,500	46,500	Increase in the amount of home tutors to accommodate the influx of students.
Nicola Ponton	90565	Equipment For SEN Pupils	38,470		38,470	17,000	-21,470	Based on need to date
Jane Seymour	90577	SEN Commissioned Provision	459,110	49,000	508,110	509,110	1,000	Additional Budget agreed by SF in respect of increased Contract costs from September 2014
Rhian Ireland	90830	ASD Teachers	119,950		119,950	119,950	0	
Rhian Ireland	90957	Early Intervention	33,510		33,510	33,510	0	
Cathy Burnham	90961	Vulnerable Children	80,000		80,000	80,000	0	
Rhian Ireland	90965	SEN Inclusion Programme	28,780		28,780	28,780	0	
High Needs Block: Non Top Up or Place Funding			1,776,400	49,000	1,825,400	1,823,530	-1,870	
High Needs Block Total			17,180,500	-684,360	16,496,140	16,303,640	-192,500	
Total Expenditure across funding blocks			89,292,450	-665,010	88,627,440	88,433,940	-193,500	
SUPPORT SERVICE RECHARGES			720,890		720,890	720,890	0	
TOTAL DSG EXPENDITURE			90,013,340	-665,010	89,348,330	89,154,830	-193,500	
Ian Pearson	90030	DSG Grant Account	-90,013,340	665,010	-89,348,330	-89,154,830	193,500	
NET DSG EXPENDITURE			0	0	0	0	0	

SCHOOLS' FORUM & HEADS FUNDING GROUP

FORWARD PLAN 1st January 2015 – 31st March 2015

(reports to be submitted to cloosen@westberks.gov.uk and be in the standard report format for these groups)

REPORT TITLE	PURPOSE	LEAD OFFICER	COMMENTS
8th JANUARY 2015 (Thursday) - HEADS FUNDING GROUP			
REPORT DEADLINE is Monday 5th January at 12 noon			
School (DSG) Budget – Overall Proposals for 2015/16	Review the DSG funding to be received for 2015/16 and overview of the draft DSG budget	Claire White & Shannon Coleman-Slaughter	
School Budgets 2015/16	Review the school budgets following receipt of October census data, and propose funding rates for 2015/16	Claire White	
High Needs Budget Proposals 2015/16	Detailed review of budget requirement for 2015/16 including options for savings	Jane Seymour	
PRU funding arrangements and proposals for 2015/16	Detailed review of budget requirement for 2015/16 including options for savings	Cathy Burnham	
19TH JANUARY 2015 (Monday) - SCHOOLS FORUM			
REPORT DEADLINE is Monday 12th January at 12 noon			
School (DSG) Budget – Overall Proposals for 2015/16	Review the DSG funding to be received for 2015/16 and agree any further actions required in order to balance the budget	Claire White & Shannon Coleman-Slaughter	
School Budgets 2015/16	Review the school budgets following receipt of October census data, and agree the funding rates for 2015/16	Claire White	
High Needs Budget Proposals 2015/16	Detailed review of budget requirement for 2015/16 including options for savings	Jane Seymour	
PRU funding proposals for 2015/16	Detailed review of budget requirement for 2015/16 including options for savings	Cathy Burnham	
Early Years Budget Proposals 2015/16	Detailed review of budget requirement for 2015/16	Maria Shepherd	
Growth fund & Falling Rolls Fund	Review of payments made to schools from these funds	Claire White	
DSG Monitoring 2014/15 month 9	Review the latest budget monitoring information	Shannon Coleman-Slaughter & Ian Pearson	
26th FEBRUARY 2015 (Thursday) - HEADS FUNDING GROUP			
REPORT DEADLINE is Thursday 19th February at 12 noon			
School (DSG) Budget – Final Proposals for 2015/16	Review the DSG funding to be received for 2015/16 and make proposal for final DSG budget	Claire White & Shannon Coleman-Slaughter	
High Needs Budget Proposals 2015/16	Decide on final budget proposal for 2015/16	Jane Seymour	
PRU Budget Proposals 2015/16	Decide on final budget proposal for 2015/16	Cathy Burnham	
Review of the Language and Literacy Centres	Request from HFG to review the effectiveness and value of the Language and Literacy Centres	Jane Seymour	

Work Programme 2015/16	Agree next year's work programme	Claire White	
9th MARCH 2015 (Monday) - SCHOOLS FORUM			
REPORT DEADLINE is Monday 2nd March at 12 noon			
School (DSG) Budget 2015/16	Finalise the DSG budget for 2015/16	Claire White & Shannon Coleman-Slaughter	
High Needs Budget Proposals 2015/16	Agree the High Needs budgets for 2015/16	Jane Seymour	
Early Years Budget Proposals 2015/16	Agree the Early Years budgets for 2015/16	Maria Shepherd	
PRU budget proposals for 2015/16	Agree the PRU budgets for 2015/16	Cathy Burnham	
DSG Monitoring 2014/15 month 10	Review the latest budget monitoring information	Shannon Coleman-Slaughter & Ian Pearson	
Review of Excess Balance Scheme	Agree balance scheme for nursery schools, special schools & PRUs	Claire White	
Work Programme 2015/16	Agree next year's work programme	Claire White	